

Application Form

Individual Investors

Need assistance with this form?

Phone Dublin +353 1 2417131

Email: Sanlam.ta@bbh.com**Important notes:**

Thank you for your interest in the Sanlam Global Funds plc and / or the Sanlam Universal Funds plc.

This form is used to open an account with our Registrar and Transfer Agent through which you can subscribe and redeem from the various sub-funds in our range.

By completing this form, and providing us with the supporting documents we request, you are assisting in fulfilling the funds' anti-money laundering and 'know your client' obligations in line with current regulatory requirements. This information also assists us in anti-fraud measures that we use to keep your investment with us safe.

We are required by law to conduct ongoing due diligence on all investors. This includes keeping investor documentation up to date and obtaining certain identification documents from investors, so we will contact you periodically to request the necessary information / documentation.

We want to make your account opening experience with us as efficient as possible. Below you will find some notes that explain how the process works and what you can expect from us.

This form should be read with a copy of the Prospectus, the Supplement and the MDD / Factsheet (as applicable) which can be obtained from www.sanlam.ie or from your broker or adviser.

Further information regarding TER and EAC for FSCA Section 65 approved funds can be found at the following location:
<https://www.sanlam.com/ireland/fund-range-and-documentation/Pages/default.aspx>

Completed forms should be sent by email to sanlam.ta@bbh.com

Unfortunately, it is not possible to provide a prescriptive list of all the documentation that we require from you to facilitate the opening of the account. The process of collecting all required information can be iterative and we may come back to you to ask for further information once an initial assessment has been made. As part of this initial review, based on various factors such as your country of domicile, we will determine if you are a high, medium or low risk investor. This ranking governs the testing and investigation that we have to undertake before we can open an account for you and consequently how long you can expect it to take for us to respond to your document submission:

High Risk – 10 business days

Medium Risk – 5 business days

Low Risk – 2 business days

Please note that the iterative nature of the account opening process will mean that on occasion the full account opening process will take longer than the time frames indicated above.

Any amendments to an investor's registration details or payment instructions may require provision of appropriate original documentation, the Registrar and Transfer Agent will guide you through any such process, please contact them using the details quoted at the top of this page.

Please note that accounts that are not funded within 1 year will be deactivated and as such the AML / KYC process may need to start again.

1 Account Registration

Registration Address

Please note that a physical street address is required. Unfortunately, we cannot accept a post office box. If you cannot provide a physical street address please make contact to request assistance using the details on page 1.

You will receive all statements, valuation reports, annual reports and other notices electronically. Statements will be sent monthly via email. No investor correspondence will be released to anyone except the below stated parties. If you wish to add / amend recipients please contact the Registrar and Transfer Agent using details at top of page 1.

*1: Applicant's Name (please type or print family name, first name):	Date of Birth dd/mm/yyyy
2: Joint Applicant's Name if applicable	Date of Birth dd/mm/yyyy
3: Joint Applicant's Name if applicable	Date of Birth dd/mm/yyyy

Named contact person (you can use this box to nominate a specific person who will act on behalf of the Applicant)

*Legal/Residential Address line 1

Legal/Residential Address line 2

Legal / Residential Address line 3

*City	*Postal Code	*Country
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*1: Applicant's E-Mail	*2: Joint Applicant's E-Mail (if applicable)
*3: Joint Applicant's E-Mail (if applicable)	*4: Joint Applicant's E-Mail (if applicable)
*Telephone number (include country code)	Fax number (include country code)
1: Applicant's town or city of birth:	1: Applicant's country of birth:
2: Joint Applicant's town or city of birth (if applicable):	2: Joint Applicant's country of birth (if applicable):
3: Joint Applicant's town or city of birth (if applicable):	3: Joint Applicant's country of birth (if applicable):

*Required information.

2. INTERMEDIARY'S DECLARATION

If you act on behalf of behalf of the applicant(s) please complete the below:

1. I declare that I am licensed to provide advice under the laws of the country in which the advice is provided.
2. I/we declare that I am/we are applying for the shares on behalf of persons:
 - Who will be beneficially entitled to the shares
 - Who to the best of my/our knowledge and belief, are neither resident or ordinarily resident in Ireland.
 - I /we also declare that unless I/we specifically notify you to the contrary at the time of application, all application made for shares by me/us from the date of this application will be made on behalf of such person.
 - I/we will inform you in writing if I/we become aware that any persons, on whose behalf I/we hold shares becomes resident in Ireland.
3. I have disclosed all income, including rebates received from the Distributor/Management Company to my clients

INTERMEDIARY / COMPANY NAME: _____

INTERMEDIARY / COMPANY ADDRESS: _____

NAME OF REGULATORY BODY INTERMEDIARY IS AUTHORISED BY: _____

REGULATORY ID NUMBER: _____

INTERMEDIARY'S SIGNATURE: _____

Date (DD / MM / YYYY): _____

3 Dividend Payments

Certain of our sub-funds pay dividends periodically, you can find more detail of this in the relevant prospectus and sub fund supplement.

You may elect to have dividends paid to your bank account or automatically reinvested in further shares of the relevant sub-fund(s).

Please select one of the options below in order to indicate your preference.

Reinvest all dividends in additional shares.

Cash - pay all dividends to the bank account listed in Section 4. (see note below)

Note:

- a) If this section is not completed, all dividends will be automatically reinvested in further shares of the relevant sub-fund(s) on your behalf.
- b) It is possible to make payment of dividends to an alternate bank account if required. Please request a 'Dividend bank details Form' from the contact address detailed on page 1.
- c) Dividends will only be paid in the currency of the relevant share class.

4 Bank Account Information

Please provide account information for the bank or other credit institution at which you have an account in your name.

Subscription money should come from the bank account detailed below and must be received by electronic transfer.

We will use this information to pay any redemption or dividend proceeds, if applicable. If your bank account information changes, please contact the Registrar and Transfer Agent who will provide you with a change of bank details form and explain the relevant process.

Redemptions and Dividends

We cannot release USD payments if we are not provided with USD Corresponding Bank Details. Your bank should be able to provide you with these details.

If you wish to provide bank details for several currencies, please provide on a separate page including all details requested below.

Money will only be paid to the registered account holders. Redemptions will only be processed on accounts that have been cleared and verified. Please note that no third-party payments will be made.

Reporting Currency

If you would like to receive reporting in an alternate currency please contact sanlam.ta@bbh.com to discuss your preference and we will endeavour to accommodate.

Payee Name(s)

Name of Bank

Bank Address line 1

Bank Address line 2

Account Currency

Swift Address / BIC Code (all except GBP)

Sort Code (GBP only)

ABA

Corresponding Bank

Corresponding Bank Swift / BIC code

International Bank Account Number (IBAN)

5 Required Anti-Money Laundering Documentation for New Investors

Under Irish Anti-Money Laundering legislation, the Company and/or the Registrar and Transfer Agent, acting on behalf of the Company, must obtain information to verify the identity and permanent address of any new investor in the Company in accordance with the requirements of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 and 2013. We are also required to determine an investor's source of wealth.

Please note that the documentation requirements set forth hereunder do not necessarily constitute a definitive list. In accordance with the terms of the Prospectus, additional proof of identity documentation may be required from any applicant at the sole discretion of the Company, Sanlam Asset Management Ireland Limited and/or the Registrar and Transfer Agent.

Individual/Joint Investor(s)

- Subscription form with completed declaration of non-Irish residency and bank details
- Certified copy of current passport or identification card with legible photograph and signature (for each applicant)
- Two proof of address are required. Acceptable forms of address include:
 - a certified copy of a valid driving licence,
 - a bank/credit card statement (within last six months)
 - a utility bill (within last six months)
- Certified documented source of wealth

Where certified copies are required please have the certification completed by: a Police Officer; Practising Certified or Chartered Accountant; Notary Public; Practising Lawyer; Embassy/Consular Staff or a Director, Compliance Officer, Legal Officer, Company Secretary of a regulated financial institution in an equivalent country.

If you are unsure please use the contact details on page one to obtain assistance.

Important information

- The measures aimed at the prevention of money laundering will require verification of the applicant(s)' identity, address, source of funds and/or source of wealth. As such, the Company and/or the Registrar and Transfer Agent reserves the right to request such information as is necessary to verify the identity of an applicant and/or the source of the subscription monies and/or the source of wealth.
- In the event of delay or failure by the applicant to produce any information required for verification purposes, the Registrar and Transfer Agent may refuse to accept the application and subscription monies.
- In the case of Joint Applicants, all must sign and supply names and addresses in accordance with the "Applicant Registration" section. Please note that only the first-named holder's address will be used for registration. If this Application Form is signed under a power of attorney, such power of attorney or a duly certified copy thereof must accompany this form in support of the signature.
- While Shares may be issued on the next available Dealing Day, until such time as the Registrar and Transfer Agent has received and is satisfied with all the information and documentation requested to verify the applicant's identity, no request made by the applicant to either redeem and/or transfer the Shares will be granted. The Registrar and Transfer Agent, Sanlam Asset Management Ireland Limited, the Investment Manager and the Company shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required by the parties referred to and has not been provided.
- Applicants should note that the Directors, the Investment Manager or the Registrar and Transfer Agent or their agents may refuse to accept a redemption request if it is not accompanied by such additional information as they may in their absolute discretion require. I/we acknowledge that the Company or the Registrar and Transfer Agent on its behalf also reserves the right to refuse to make any redemption payment or distribution to a Shareholder where the Company or the Registrar and Transfer Agent is not satisfied with the information and documentation that has been provided. This power may, without limitation to the generality of the foregoing, be exercised where proper information has not been provided for money laundering verification purposes as described above. Applicants should note in particular that redemption proceeds will not be paid by the Registrar and Transfer Agent to an account which is not in the name of the applicant.

Source of wealth

To be completed by all investors.

I/we declare that the origin of the funds used for subscription is coming from: (please tick the appropriate section and provide the information as requested therein)

Savings from salary (basic and/or bonus) If you own/part own the company where you are employed, please follow the guidance detailed in Company Profits section. If retired please provide detail of previous employment.	Salary per annum
	Employer's name
	Employer's address
	Employer's Industry
	Job Title

Maturity or surrender of life policy	Amount received
	Policy provider
	Policy number/reference
	Date of surrender

Sale of investments or liquidation of investment portfolio	Description of shares/units/deposits (Including country of domicile)
	Name of seller
	Name of Investment Company
	How long held
	Sale amount
	Date funds received

Sale of property	Sold property address (include country please)
	Type of Property
	Date of sale
	Total sale amount

5. Source of wealth (continued)

Inheritance / Gift

Name of deceased

Date of death

Describe the nature of the business/employment/position of the benefactor (who the inheritance / gift was received from) & jurisdiction of the benefactor

Relationship to applicant

Date received

Total amount

Solicitor's details

Divorce settlement

Date received

Total amount received

Name of divorced partner

Company profits

Name and address of company

Nature of company

Amount of annual profit

Value of company and percentage of company owned by applicant

Employer paying premium

Employer

Country of incorporation

Incorporation number

5. Source of wealth (continued)

Other (please specify)

6 Individual Self-Certification

We are obliged under section 891E, section 891F and section 891G of the Taxes Consolidation Act 1997 and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements pursuant to the agreement between Ireland and the United States to implement the Foreign Account Tax Compliance Act ("FATCA") and under the OECD Common Reporting Standard ("CRS"). Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information, and other financial information with respect to the Applicant's interest, with the Irish Revenue Commissioners who may share the information with the tax authority of the Applicant's country of residence, if that country has implemented the CRS regime, or with the Inland Revenue Service of the United States for FATCA purposes. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining your tax residency status, please refer to the CRS information portal or speak to your tax advisor. For further information on FATCA/CRS please refer to the Irish Revenue website at: <http://www.revenue.ie/en/business/aeoi/index.htm> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about your tax residence or FATCA/CRS classification changes in the future, please ensure you advise us of these changes promptly.

Please note that where there is a Joint Applicant, the Joint Applicant is required to complete this Individual Self-Certification on their own behalf in a separate form.

Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:

A I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes (please provide your U.S. federal taxpayer identification number in the box to the right)

US Taxpayer Identification Number (TIN) (if you have one)

Please tick either (a) or (b) and complete as appropriate.

B I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.

Common Reporting Standard (“CRS”) Declaration of Tax Residency

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers (“TIN”). Note: Provision of a Taxpayer Identification Number is required unless you are resident in a jurisdiction that does not issue a Taxpayer Identification Number.

Country/Countries of Tax Residency	TIN

Please tick this box if you are not resident in any country for tax purposes

7 Declaration of Residence Inside or Outside Ireland

Terms used in this section are defined in the “Taxation” section of the Prospectus. Please tick one as appropriate:

A Irish Residents

I am/ We are an Irish Resident who will cause the company to have an obligation to deduct and pay tax to the Irish Revenue Commissioners.

B Exempt Irish Investors

I am/ We are an Irish Resident who will not cause the company to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *(If this box is ticked, it will be necessary to complete an additional form, which is available using the details specified on page one)*

C Non-Irish Investors

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares. Terms used in this declaration are defined in this prospectus.

Declaration of Residence outside Ireland. If you tick Box C, you must tick one of the declarations on the next page.

Declaration of capacity of Applicant (applicable only if you have ticked Non-Irish Investors on the previous page). Please tick one as appropriate:

Declaration on own behalf

I/ We declare that I am/we are applying for the shares on my own/our own behalf/on behalf of a company and that I am/ we are/the company is entitled to the shares in respect of which this declaration is made and that:

- I am/we are/the company is not currently “resident” or “ordinarily resident” in Ireland; and
- Should I/we/the company become Irish Resident I/we/the company will so inform the company, in writing accordingly.
- The monies being invested belong to the registered shareholder and no monies are being invested on behalf of underlying beneficiaries

Declaration as Intermediary

I/ We declare that I am/we are applying for shares on behalf of other persons (e.g. not on own behalf):

- Who will be beneficially entitled to the shares; and
- Who, to the best of my/our knowledge and belief, are neither “resident” or “ordinarily resident” in Ireland.

I/ We also declare that:

- Unless I/we specifically notify you to the contrary at the time of application, all applications for shares made by me/ us from the date of this application will be made on behalf of such person; and
- Will inform you in writing if I/we become aware that any person on whose behalf I/we hold shares becomes Irish Resident.

Notes

- 1 Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
- 2 To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
- 3 If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

DATA PRIVACY NOTICE

This notice is applicable to investors in Sanlam Universal Funds plc and Sanlam Global Funds plc having their registered office at Beech House, Beech Hill Road, Dublin 4.

By completing the Application Form, you are providing personal data to either or both Sanlam Universal Funds plc and Sanlam Global Funds plc (the "Fund"). This notice is to make you aware of how the Fund, as data controller holds, uses and processes your personal data, as well as the steps we are taking to ensure our data processing is in compliance with the General Data Protection Regulation (EU/2016/679) ("**GDPR**") and the implementing legislation, Data Protection Acts 1988 to 2018 ("**Data Protection Legislation**").

In this document, "we", "us" and "our" refers to the Fund and its affiliates and delegates.

Scope

This Notice applies to you and to any third parties (including, but not limited to, agents or employees) whose information you provide to us in connection with our relationship with you. It is your responsibility to ensure that any third parties whose personal data you provide to us are aware of their rights in this regard and are provided with a copy of this Notice. Any references to 'you' and 'your' should be construed to include such third parties. We may update this Notice from time to time and we will notify you in writing of any changes we make.

Nature, Purpose and Legal Basis of Processing

The Fund will hold some or all of the following types of your personal data: investor name, e-mail, phone number, address, date of birth, copies of proof of identity documents which may include a photograph, tax identification numbers, account numbers, data on transactions, trading and settlement, and any other information required to perform requested services. Your personal data may be obtained through your initial application for shares in the Fund and your associated interactions with the Fund (including the recording of electronic communications or phone calls where applicable). We may also obtain personal data on you from other public sources.

Your personal data will be processed to process, manage and administer your holding(s) and any related accounts on an on-going basis. We will process your personal data as necessary for the performance of your contract with us. We will also process personal data as necessary to comply with the Fund's legal obligations. We will inform you in advance if we intend to further process your personal data for a purpose other than those set out above. We may also seek your consent to the processing of your personal data for other specific purposes. You will have the right to withdraw such consent at any time.

Recipients of your personal data

Your personal data will be disclosed to, and processed by, Brown Brothers Harriman Fund Administration Services (Ireland) Limited, which will be the Registrar and Transfer Agent of the Fund for the purposes of this Data Privacy Notice. We will disclose your personal data to the Registrar and Transfer Agent for the purposes of carrying out the services of registrar and transfer agent of the Fund and to comply with legal obligations, including under company law and anti-money laundering legislation or foreign regulatory requirements. The Registrar and Transfer Agent may in turn disclose your personal data to agents or other third parties where necessary to carry out these purposes, including to: Brown Brothers Harriman & Co., Brown Brothers Harriman (Luxembourg) S.C.A., Brown Brothers Harriman (Poland) Sp. Z.o.o. and / or Brown Brothers Harriman (Hong Kong) Limited.

We may also disclose your personal data to:

- The Depository, Brown Brothers Harriman Trustee Services (Ireland) Limited;
- The Administrator, the MLRO, the Manager, the Investment Manager and our or their duly authorised delegates, agents and related, associated or affiliated companies;
- The Irish Revenue Commissioners;
- The Irish Central Bank;
- Agents of the Registrar and Transfer Agent who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements; and
- Other third parties including legal or financial advisors, regulatory bodies, auditors and technology providers.

We take all reasonable steps, as required by Data Protection Legislation, to ensure the safety, privacy and integrity of your personal data and may, as appropriate, enter into contracts with such third parties to protect the privacy and integrity of any personal data supplied to us.

Transfers of personal data outside the EEA

We may transfer your data to countries outside of the EEA (including but not limited to the United States of America, Hong Kong and South Africa) which may not have the same data protection laws as Ireland. We will take all steps reasonably necessary to ensure that your personal data is treated securely, and that appropriate safeguards are in place to protect the privacy and integrity of such personal data, in accordance with Data Protection Legislation. Please contact us if you wish to obtain more information concerning these safeguards (see 'Contact Us').

Regulatory Checks and Sanctions Regimes

In addition to fulfilling the obligations of the Fund, the Registrar and Transfer Agent may be subject to its own additional anti-money laundering or foreign regulatory requirements, which may include the requirement to cross-check your personal data against applicable governmental sanctions lists, implemented by the European Union, the United Nations and the United States of America. The Registrar and Transfer Agent, in respect of this specific use of personal data, acts as a data controller.

This processing of your personal data is also necessary for the performance of your contract with us and may involve disclosure of your personal data to other BBH Entities (see 'Recipients of your personal data') and the transfer of your personal data outside of the EEA (see 'Transfers of personal data outside the EEA'). Please contact us using the details below if you want to obtain more information.

Where you do not provide your personal data

If you do not provide us with your personal data, we may not be able to process your investor application. We will tell you when we ask for information which is a contractual requirement or required to comply with our legal obligations.

Security and Retention of your data

The Fund takes all reasonable steps as required by Data Protection Legislation to ensure the safety, privacy and integrity of your personal data. The Fund will retain your personal data only for as long as is necessary to manage your investment, meet regulatory requirements and carry out the purposes set out above and/or to comply with any legal obligations (whichever is longer). Thereafter, we and our duly authorised affiliates and delegates will refrain from collecting any further personal data on you and shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate. Please contact us if you wish to obtain more information concerning the relevant retention periods (see 'Contact Us').

Your Rights

You have a right to obtain a copy (in an easily readable form) of, and the right to examine and rectify any inaccuracies in, the personal data we hold about you by making a request to us in writing. You also have the right to request erasure, restriction, portability and to not be subject to a decision based on automated processing (including profiling).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the right for your data to be erased (the "right to be forgotten") that applies in some contexts is not likely to be applicable to most, if not all, of the personal data we hold, given the specific nature of the purposes for which we use the data, as described above.

You should inform us of any changes to your personal data. If you wish to assert any of your rights, please contact us using the details set out below. We will respond to your request in writing, or orally, if requested, as soon as practicable and in any event not more than one month after receipt of your request.

You have the right to lodge a complaint with the Data Protection Commission (our lead supervisory authority) , or a supervisory authority in the EU member state of your usual residence if you are unhappy with how your personal data is being handled.

Contact Us

If you have any queries regarding this Data Privacy Notice, please contact the Data Protection Officer at Sanlam Asset Management (Ireland) Limited by email to dataprotectionofficer@sanlam.ie.

Data Protection Acknowledgement

By checking this box, I/we confirm that I/we have read and acknowledge the Data Privacy Notice above.

8 Acknowledgement, Certification and Subscription Agreement Signature – All Applicants Must Sign

In signing this Application Form:

- i. I/We acknowledge receipt of and have read the Prospectus, Supplement(s) and KIID / MDD / factsheet for Sanlam Global Funds Plc / Sanlam Universal Funds plc.
- ii. I/We certify that I/we have full legal capacity to purchase shares in Sanlam Global Funds Plc / Sanlam Universal Funds plc or that I/we had the necessary assistance if required.
- iii. If in the case of a corporate account I/we shall forward to the Registrar and Transfer Agent a list of those authorised individuals who may also instruct on this account.
- iv. I/We acknowledge and certify that I/we understand that an investment in Sanlam Global Funds Plc / Sanlam Universal Funds plc is not insured by any governmental or regulatory agency and is not an obligation of, nor guaranteed by Sanlam Asset Management (Ireland) Ltd nor Brown Brothers Harriman Fund Administration Services (Ireland) Limited, any of its affiliates or any commercial bank.
- v. I/We understand that this application must be completed in its entirety and sent to the Registrar and Transfer Agent in accordance with deadlines laid down in the Prospectus and Supplement(s), along with any additional documentary evidence required by the Registrar and Transfer Agent .
- vi. I/We agree to notify the Manager and the Registrar and Transfer Agent immediately if I/we become aware that any of the representations are no longer accurate and complete in all respects and we will abide by any directions from the manager and the Registrar and Transfer Agent arising therefrom.
- vii. I/We confirm that the Registrar and Transfer Agent may receive and act upon our faxed instructions, which the Registrar and Transfer Agent believes in good faith to be given by me/us. I/We agree to hold the Registrar and Transfer Agent harmless and to indemnify the Registrar and Transfer Agent for any loss or damage which the Registrar and Transfer Agent may suffer as a result of acting on such faxed instructions.
- viii. I confirm that the intermediary whose details appear in this document, is my appointed advisor and that I have I am aware and have agreed to any remuneration he receives
- ix. Terms used in this Application Form not defined in the Application Form shall be defined in the Prospectus and Supplements(s)

A signature of an Authorised Signatory is required to complete this Application Form.

Name please type or print	Title
Signature	Date dd/mm/yyyy
Name please type or print	Title
Signature	Date dd/mm/yyyy
Name please type or print	Title
Signature	Date dd/mm/yyyy

9 Checklist

Before submitting your request to open an account with the company, please ensure that you:

- Step 1: Complete this Application, ensuring that all sections are fully completed and are duly executed on behalf of the Applicant and Joint Applicant (if applicable).
- Step 2: Enclose the additional anti-money laundering documents required by Section 4 of the Subscription Agreement for both the Applicant and the Joint Applicant if applicable:

Two proof of address are required. Acceptable forms of address include:

- a certified copy of a valid driving licence,
- a bank/credit card statement (within last six months)
- a utility bill (within last six months)

Certified documented source of wealth

Please note that we reserve the right to request additional documentation as require.

- Step 3: Email scanned copies of all of the documents detailed at Steps 1, 2 and 3 above to the Registrar and Transfer Agent
Sanlam.ta@bbh.com

IMPORTANT INFORMATION WHICH MUST BE READ

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each applicant must sign. In the case of a company, the declaration must be signed by the company secretary or other authorised officer.

3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney should be furnished in support of the signature.

4. If you are resident or ordinarily resident in Ireland or are an exempt resident as defined in the prospectus or are an Irish resident company within the charge to corporation tax, please contact the Registrar and Transfer Agent.

5. Conflicts of Interests

All actual and potential Conflicts of Interest are managed, reported and disclosed in line with the Managers Conflicts of Interests and Outsourcing policy which is available on request.

6. Complaints

We want to give you outstanding Client Service but sometimes things go wrong. If you have a complaint please get in touch – Telephone +353 1 2417130 or Fax +353 1 241 7131. Complaints will be investigated fully, we will resolve as soon as possible whilst keeping you updated on progress.

7. SAMI Sanlam Asset Management is authorised and regulated by the Central Bank of Ireland as a UCITS IV Management Company and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002. Incorporated in Ireland. Registered Number 267640.

8. Regulatory Statement

Sanlam Universal Funds Plc is authorised and regulated by the Central Bank of Ireland, as an undertaking for collective investments in transferable securities.

Sanlam Global Funds Plc is authorised and regulated by the Central Bank of Ireland, as a Retail Investor Alternative Investment Fund.

The Funds are managed by Sanlam Asset Management, Beech House, Beech Hill Road, Dublin 4, Ireland, Tel + 353 1 205 3510, Fax + 353 1 205 3521 which is authorised by the Central Bank of Ireland, as a UCITS IV Management Company, and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.

The full prospectus, the Fund supplement, the MDD/Fact sheet, and if applicable the KIID, is available free of charge from the Manager or at www.sanlam.ie. This is neither an offer to sell, nor a solicitation to buy any securities in any fund. Any offering is made only pursuant to the relevant offering document, together with the current financial statements of the relevant fund, and the relevant subscription application forms,

all of which must be read in their entirety together with the Prospectus, Fund supplement, the MDD/Factsheet and if applicable the KIID. No offer to purchase securities will be made or accepted prior to receipt by the offeree of these documents, and the completion of all appropriate

documentation.

If the sub fund is Section 65 approved fund under the Collective Investment Schemes Control Act 45, 2002 (CISCA). Sanlam Collective Investments (RF) (Pty) Ltd is the South African Representative Office for this fund. Although all reasonable steps have been taken to ensure the information in the portfolio fact sheet/MDD is accurate, Sanlam Asset Management does not accept any responsibility for any claim, damages, loss or expense; however it arises, out of or in connection with the information. No member of Sanlam gives any representation, warranty or undertaking, nor accepts any responsibility or liability as to the accuracy of any of this information.

The information to follow does not constitute financial advice as contemplated in terms of the South African Financial Advisory and Intermediary Services Act. Use or rely on this information at your own risk. Independent professional financial advice should always be sought before making an investment decision.

Past performance of a fund is no guarantee as to its performance in the future. Independent Financial advice, should be sought as not all investments are suitable for all investors.

Collective Investment Schemes (CIS) are generally medium to long term investments. The value of participatory interests may go down as well as up and past performance is not necessarily a guide to the future. Fluctuations or movements in exchange rates may cause the value of underlying investments to go up or down.

The fund price is calculated on a net asset value basis, which is the total value of all assets in the portfolio including any income and expense accruals. Trail commission and incentives may be paid and are for the account of the manager. International investments or investments in foreign securities could be accompanied by additional risks such as potential constraints on liquidity and repatriation of funds, macroeconomic risk, political risk, foreign exchange risk, tax risk, settlement risk as well as potential limitations on the availability of market information.

The Manager has the right to close any Portfolios to new investors to manage them more efficiently in accordance with their mandates.

Collective Investment Schemes are traded at ruling prices and can engage in borrowing and securities lending, as detailed in each supplement.