

Sanlam Investments Limited
 Sanlam House, 3rd Floor, Kenyatta Avenue
 P.O. Box 7848-00100 Nairobi, Kenya

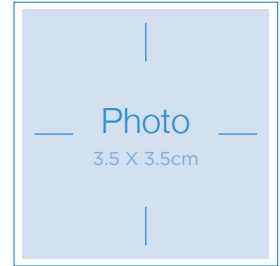
T +254 (0)20 2251566
 E info@sanlaminvestments.co.ke
 W www.sanlam.co.ke

Select a Product:

Pesa+ Pata+ Chama+

New Client Account Number
 (For Existing Investors)

Date:



> Investor Details

Company/Organization Name

Postal Address Code

Physical Address

Registration No. PIN No.

Email Address

Contact Person

Tel No. Fax

Directors/Authorized Signatories to sign mandate

All to sign Either to sign Any 2 to sign

	Signatory 1	Signatory 2	Signatory 3
Title			
Surname			
Other Names			
Mobile no.			
Date of Birth			
ID/Passport No.			
PIN Number			
Position in the Co.			
Gender	M <input type="checkbox"/> F <input type="checkbox"/>	M <input type="checkbox"/> F <input type="checkbox"/>	M <input type="checkbox"/> F <input type="checkbox"/>
Email			
Signature			

> Source of funds

The funds for these investments are from (please tick):

Profits Rental/Property Sale Sale of Shares Dividends Loan
 Maturing Investments Others Please specify _____

***Please note that Sanlam Investments Limited reserves the right to seek further information or documentation on the source of funds to be invested.*



> Customer's Bank Details

Account Name

Account Number

Bank Name

Bank Branch

Bank Code Swift Code

Account Type Savings Current

Signatures of applicant/authorized signatories in accordance to mandate provided _____

**Any instructions of change of bank details MUST be provided in writing with adequate proof*

> Investment Details

Minimum investment amount is Kshs. 2,500 for each of the Sanlam Unit Trust Funds

NAME OF FUND	AMOUNT									
Sanlam Money Market Fund (Pesa+)	Kshs									
Sanlam Dividend Plus Fund (Pata+)	Kshs									
Sanlam Balanced Fund (Chama+)	Kshs									
Total Amount	Kshs									

Total Amount in Words

Payment Method (Please tick)

Cheque Direct Cash/Cheque Deposit Direct Transfer

Re-invest? Yes No

Regular Top ups

I/We would wish to make regular top up on a monthly basis of Kshs.

Debit Order M-Pesa Salary Deduction Cheque Bankers Order

Other: _____

> Bank Account Details

Sanlam Investments Limited accepts personal/corporate and bankers cheques payable to the Sanlam Unit Trust A/c. The Bank Account details are as listed below.

Account Name	SANLAM UNIT TRUST
Account No.	0100003738118
Bank	CFC Stanbic Bank
Branch	Kenyatta Avenue
Swift Address	SBICKENX
Bank Code	31002
M-PESA Pay Bill Number	888111

> Statement and other correspondence

All statements, reports and notices will be sent by default through e-mail. Please ensure your current address is correctly entered. If no e-mail is provided, you will incur postal charges for all correspondents.

Preferred mode of receiving monthly correspondence: Email free Post Kshs. 50



> General Details *(Please tick where applicable)*

Have you previously invested in Sanlam Unit Trusts? Yes No

Is there any association with Sanlam Investments Limited? Yes No

How did you hear about Sanlam Unit Trusts? Radio TV

Newspaper Word of mouth Internet

Other (Please State) _____

> Declarations and Signatures

We apply for investments into Sanlam Unit Trusts Funds on the terms, conditions and regulatory information set out in the information memorandum. The information is available at Sanlam Investments Offices.

We have read and understood the information memorandum, the charges made by Sanlam Investments Limited and the terms and conditions of this application.

We confirm that the Units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that we will not sell, transfer or otherwise dispose of any such Units directly or indirectly to or for the account of such person.

We hereby agree that all proceeds for redemption and income distribution will be paid in accordance to the applicable payment instructions.

We confirm that the money used for the Investment in the Sanlam Unit Trust Funds are not arising out of the proceeds of any money laundering or other illicit activities.

We agree that Sanlam Investments Limited is not responsible for any liability, losses or damages resulting from e-mail or fax instructions, except when such liability or loss results from negligence, or willful default on Sanlam Investments Limited part.

We warrant that all the information given on this proposal and in all documents which have been or will be signed by me in connection with the proposed application whether in my hand or not, is true and complete.

WE THE UNDERSIGNED CONFIRM THAT WE HAVE READ AND UNDERSTOOD THIS DECLARATION AND IT'S IMPLICATIONS.

	Signatory 1	Signatory 2	Signatory 3
Name			
Signature			
Date	<input type="text" value="DD"/> <input type="text" value="DD"/> / <input type="text" value="MM"/> <input type="text" value="MM"/> / <input type="text" value="YY"/> <input type="text" value="YY"/>	<input type="text" value="DD"/> <input type="text" value="DD"/> / <input type="text" value="MM"/> <input type="text" value="MM"/> / <input type="text" value="YY"/> <input type="text" value="YY"/>	<input type="text" value="DD"/> <input type="text" value="DD"/> / <input type="text" value="MM"/> <input type="text" value="MM"/> / <input type="text" value="YY"/> <input type="text" value="YY"/>

> Documents Required

1. KYC Documents

- i) Copy of National ID or Passport of all signatories
- ii) Passport size photo
- iii) Certificate of Incorporation
- iv) Copy of Pin Certificate of the corporate
- v) Copy of Tax Exemption Certificate
- vi) Completed Risk Assessment form

Proof of banking details.

- i) Copy of ATM Card or Copy of Bank statement or cancelled cheque



- 2. Utility bill (Electricity or Water) in the name of the corporate or fill in the attached residential address enquiry form.
- 3. Proof of investment i.e. Mpesa transaction number, Cheque or RTGS. Cheques should be written in the name of Sanlam Unit Trust.

I confirm that all the above documents (where applicable) have been attached.

Financial Advisor Name _____

Code _____ Signature _____

Date / /

Unit Manager Name *(For Financial Advisor Only)* _____

Code _____ Signature _____

Date / /

> Signed By

Administrator (Name) _____

Signature _____ Date / /

Compliance Manager (Name) _____

Signature _____ Date / /