

Sanlam Investments Limited
Sanlam House, 3rd Floor, Kenyatta Avenue
P.O. Box 7848-00100 Nairobi, Kenya

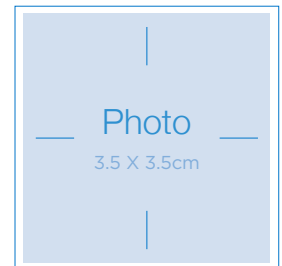
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E info@sanlaminvestments.co.ke
W www.sanlam.co.ke

Select a Product

Pesa+ Pata+ Chama+

New Client Account Number
(For Existing Investors)

Date:



Investor Details

Title: Mr Mrs Miss Other (Specify) Date

First Name Surname

Other Names

Tel No. Mobile No. (Compulsory)

ID/Passport No. (Compulsory) PIN No.

Postal Address (Compulsory) Code

Country E-mail Address

Employment Status: Employed Self Employed Unemployed Retired

Employer's Name

Postal Address (Compulsory) Code

Employer's Physical Address Tel No.

Gender: Male Female Occupation

Marital Status: Single Married Divorced Other

Taxable: Yes No Resident Non Resident Industry

Source of Funds

The funds for these investments are from (please tick)*

Salary Rental/Property Sale Sale of Shares Dividends Loan

Maturing Investments Others Please specify

***Please note that Sanlam Investments Limited reserves the right to seek further information or documentation on the source of funds to be invested.*

Customer's Bank Details

Account Name

Account Number

Bank Name

Bank Branch

Bank Code Swift Code

Account Type Savings Current

Signatures of applicant/authorized signatories in accordance to mandate provided

**Any instructions of change of bank details MUST be provided in writing with adequate proof*



> Investment Details

Minimum investment amount is Kshs. 2,500 for each of the Sanlam Unit Trust Funds

NAME OF FUND	AMOUNT									
Sanlam Money Market Fund (Pesa+)	Kshs									
Sanlam Dividend Plus Fund (Pata+)	Kshs									
Sanlam Balanced Fund (Chama+)	Kshs									
Total Amount Invested	Kshs									

Total Amount in Words:

Payment Method (Please tick)

Cheque Direct Cash/Cheque Deposit Direct Transfer M-Pesa

Access Emergency withdrawal on M-Pesa? Yes No

Re-invest? Yes No

> Bank Account Details

Sanlam Investments Limited accepts personal/corporate and bankers cheques payable to the Sanlam Unit Trust A/c. The Bank Account details are as listed below.

Account Name	SANLAM UNIT TRUST
Account No.	0100003738118
Bank	CFC Stanbic Bank
Branch	Kenyatta Avenue
Swift Address	SBICKENX
Bank Code	31002
M-PESA Pay Bill Number	888111

> Statement and other Correspondence

All statements, reports and notices will be sent by default through e-mail. Please ensure your current address is correctly entered. If no e-mail is provided, you will incur postal charges for all correspondents.

Preferred mode of receiving monthly correspondence: Email free Post Kshs. 50

> Beneficiaries

	Beneficiary 1	Beneficiary 2	Beneficiary 3
Name			
Date of Birth			
Postal Address			
Identification No.			
Tel/Mobile No.			
Percentage Share (%)			
Relationship			
Email Address			



> General Details *(Please tick where applicable)*

Have you previously invested in Sanlam Unit Trusts? Yes No

Are you an employee of Sanlam Investments Limited? Yes No

How did you hear about Sanlam Unit Trusts? Radio TV

Newspaper Word of mouth Internet

Other (Please State) _____

> Declarations and Signatures

I apply for investments into Sanlam Unit Trusts Funds on the terms, conditions and regulatory information set out in the information memorandum. The information is available at Sanlam Investments Offices.

I have read and understood the information memorandum, the charges made by Sanlam Investments Limited and the terms and conditions of this application.

I confirm that the Units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that I/we will not sell, transfer or otherwise dispose of any such Units directly or indirectly to or for the account of such person.

I hereby agree that all proceeds for redemption and income distribution will be paid in accordance to the applicable payment instructions.

I confirm that the money used for the Investment in the Sanlam Unit Trust Funds are not arising out of the proceeds of any money laundering or other illicit activities.

I agree that Sanlam Investments Limited is not responsible for any liability, losses or damages resulting from e-mail or fax instructions, except when such liability or loss results from negligence, or willful default on Sanlam Investments Limited part.

I warrant that all the information given on this proposal and in all documents which have been or will be signed by me in connection with the proposed application whether in my hand or not, is true and complete.

I THE UNDERSIGNED CONFIRM THAT I HAVE READ AND UNDERSTOOD THIS DECLARATION AND IT'S IMPLICATIONS.

Signature of Applicant: _____

Name: _____

Date: / /



> Documents Required

1. KYC Documents:

- i) Copy of National ID or Passport
- ii) Passport size photo
- iii) Copy of Pin Certificate
- iv) Copy of Tax Exemption Certificate
- v) Completed Risk Assessment form

Proof of banking details

- i) Copy of ATM Card or Copy of Bank Statement or original Cancelled Cheque

2. Utility bill (Electricity or Water) in your name or fill in the attached residential address enquiry form

3. Proof of investment i.e. Mpesa transaction number, Cheque or RTGS. Cheques should be written in the name of Sanlam Unit Trust.

I confirm that all the above documents (where applicable) have been attached.

Financial Advisor Name _____

Code _____ Signature _____

Date: / /

Unit Manager Name *(For Financial Advisor Only)* _____

Code _____ Signature _____

Date / /

> Signed By

Administrator (Name) _____

Signature _____ Date / /

Compliance Manager (Name) _____

Signature _____ Date / /