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Investor Details

Surname Other names
 Gender M F Mobile No.
 Email address

1. What is your current age bracket?

- a. 18 - 30 years b. 31 - 45 years c. Over 45 years

2. How long do you want to invest?

- a. Above 3 years b. 1-3 years c. 0 -1 years

3. Have you ever invested in any of the investments below? *(Please tick where applicable)*

- Fixed Deposits Land/House Shares T/Bills or T/Bonds Unit Trusts
 a. More than 3 investments categories
 b. More than 1 - 3 investments categories
 c. None of the above
 d. Other (please state)

4. What type of savings or investments do you currently hold? *(Please tick where applicable)*

- Fixed Deposits Land/House Shares T/Bills or T/Bonds Unit Trusts
 a. More than 3 of the above
 b. More than 1 - 3 of the above
 c. Other e.g. Business, Bank savings
 d. Other (please state)

5. What do you expect of your income in the next one to three years?

- a. Increase b. Stay about the same c. Decline / stop

6. Approximately what percentage of your total assets (excluding your house) will this investment represent?

- a. Less than 10% b. 10% to 25% c. 26% to 50% d. 51% and above

7. Would you say you have?

- a. A sound and good understanding of investments and financial markets
 b. A basic understanding of investments and financial markets
 c. Very little knowledge of investments but have an interest
 d. No interest or knowledge of any investments

8. If your investment fell by 20% over the course of three months, how would you react?

- a. Buy more of the same as it must be a cheap time to buy
 b. Concerned, but remain invested to see if it improves in the near future before taking any action
 c. Disappointed and cut my losses and transfer immediately to a more stable investment

9. What attracts you to an investment?

- a. Its good return, regardless of the risk
 b. A combination of security and income
 c. Purely security



10. Do you have other source savings you can access immediately?

- a. Yes I have an adequate fund to last me more than 1 year
- b. Yes, but less than 6 months worth
- c. No

11. When do you expect to need most of your money from this investment?

- a. Above 3 years
- b. 1 to 2 years
- c. Less than one year

> Key

a = 3 b = 2 c = 1 d = 0

Kindly ADD all your scores and divide the total by 11.

(Note: Your score should fall within the risk rating column)

Risk Rating	Fund	Description	Fund Objectives
0-1.6	Sanlam Money Market Fund (Pesa+)	Low	<ul style="list-style-type: none"> Above inflation level of current income Low risk Ideal for preserving capital and liquidity while earning a competitive return relative to comparative products
1.68 -2.35	Sanlam Dividend Plus Fund (Pata+)	Low - Medium	<ul style="list-style-type: none"> Stable income stream Low to Medium risk Ideal for growing assets while earning a periodic and stable income
2.36 - 3	Sanlam Balanced Fund (Chama+)	Medium	<ul style="list-style-type: none"> Moderate to high level of current income Moderate risk Ideal for medium to longterm investors seeking a competitive income return and capital appreciation

I understand my risk level and I have chosen to invest the following funds.

Pesa+: Kshs

Pata+: Kshs

Chama+: Kshs

Signature _____ Date _____