



**PROMOTION OF ACCESS TO INFORMATION ACT  
MANUAL**

**for**

**BLUESTAR BUSINESS ENTITIES  
listed in Annexure "A" hereto**

**(Prepared in terms of section 51 of the Promotion of Access  
to Information Act, No. 2 of 2000)**

## **1. INTRODUCTION**

- 1.1 The BlueStar businesses listed in Annexure “A” hereto are authorised by Sanlam Life Insurance Limited (“Sanlam”) to provide to Sanlam tied financial advisers linked to the relevant BlueStar business, administration- and other back office services.
- 1.2 The Promotion of Access to Information Act, No. 2 of 2000 (“the Act”) was enacted on 3 February 2000 and gives effect to the constitutional right of access to information held by another person and that is required for the exercise or protection of any rights. If a public body is the requester, the public body must be acting in the public interest. If a request is made in terms of the Act, the body to whom the request is made is obliged to release the information, except where the Act expressly provides that the information may or must not be released. Requests in terms of the Act must be made in accordance with the prescribed procedures, at the rates provided.
- 1.3 Section 51 of the Act requires a private body to compile a manual (the Manual) which details the requisite procedural issues attached to a request for information, the prescribed rates, the requirements which such request must meet as well as the grounds for refusal or partial refusal of such request. Please refer to the sections below for more information.

## **2. PURPOSE OF THIS MANUAL**

- 2.1 The purposes of this Manual are to:
  - 2.1.1 provide a description of the available records of the BlueStar business entities (see section 4);
  - 2.1.2 provide a description of the personal information processing activities of the BlueStar business entities, as prescribed by the Act; and
  - 2.1.3 facilitate any request for information a requester may have under the Act, required for the protection or exercise of any right. Such a request may however be subject to justifiable limitations, as per Part 3, Chapter 4 of the Act.

### **3. WHO MAY REQUEST ACCESS TO THE INFORMATION HELD**

- 3.1 This Manual is designed to facilitate any request for information from a requester (which includes a data subject as defined in the Protection of Personal Information Act, No. 4 of 2013). In terms of section 50 of the Act, a requester must be given access to any record held by a private body where:
  - 3.1.1 that record is required for the exercise or protection of any rights;
  - 3.1.2 the requester complies with the procedural requirements in terms of the Act pertaining to a request for access; and
  - 3.1.3 access to that record is not refused in terms of any of the grounds for refusal listed in the Act.
- 3.2 The above-mentioned request includes access to a record containing personal information of the requester or the person on whose behalf the request is being made.
- 3.3 A requester has the right to ask the BlueStar entity to confirm whether or not it holds personal information of the requester, free of charge. Additionally, a requester may request that the BlueStar entity provide a record or description of the personal information of the requester held by the Blue Star entity as well as the information regarding the identity of all third parties or categories of third parties, who have, or have had, access to the personal information:
  - 3.3.1 within a reasonable time;
  - 3.3.2 at the prescribed fee, if any;
  - 3.3.3 in a reasonable manner and format; and
  - 3.3.4 in a form that is generally understandable.
- 3.4 Please note that if a requester requires a record or description of the personal information held by the BlueStar entity, a written estimate of the fee (determined in accordance with the Regulations of the Act) will be provided to the requester and a deposit may be required.
- 3.5 A requester, in relation to a private body, means:
  - 3.5.1 any person, including, but not limited to, a public body or an official thereof, making a request for access to a record of that private body; or
  - 3.5.2 a person acting on behalf of the person contemplated above.
- 3.6 Should a request be made on behalf of another person or entity, the requester must submit details and proof of the capacity in which the requester is making a request, to the satisfaction of the BlueStar entity.
- 3.7 Where a public body requests access to information from the BlueStar entity, for the exercise or protection of any rights, other than its rights, it must be acting in good faith and in the interest of the public.

#### 4. TYPES OF RECORDS HELD

4.1 Records are held and made available in terms of the legislation listed in Annexure “B” as applicable to the entities listed herein.

4.2 The following other records are held by BlueStar entities:

<b>Products and services subjects</b>	
<ul style="list-style-type: none"> <li>• Long-term insurance products</li> <li>• Savings Products</li> <li>• Unit Trust products</li> <li>• Investment and risk products to groups and schemes</li> <li>• All records kept in terms of legislation applicable to any of the above products or services and the Financial services Industry in general</li> </ul>	<ul style="list-style-type: none"> <li>• Retirement annuities, Pension Funds</li> <li>• Trust Services/Estate</li> <li>• Short-term insurance products</li> </ul>
<b>Company Records subject</b>	
<ul style="list-style-type: none"> <li>• Finance</li> <li>• Human Resources</li> <li>• Client care</li> <li>• Product Management</li> <li>• All records kept in terms of the Company Laws of South Africa</li> </ul>	
<b>Subjects on whom records are held</b>	
<ul style="list-style-type: none"> <li>• Shareholders</li> <li>• Policyholders</li> <li>• Directors</li> <li>• Employees</li> <li>• Prospective Employees</li> <li>• Officials</li> <li>• Consultants</li> <li>• Auditors</li> </ul>	<ul style="list-style-type: none"> <li>• Subsidiary companies</li> <li>• Advisers</li> <li>• Brokers</li> <li>• Clients</li> <li>• Banking institutions</li> <li>• External companies/ contractors</li> <li>• Third Parties</li> <li>• Associate Companies</li> </ul>

<b>Which records are held in respect of the above mentioned subjects?</b>	
<ul style="list-style-type: none"> <li>• Confidential</li> <li>• Scientific</li> <li>• Personal</li> <li>• Research</li> <li>• Commercial</li> <li>• Operational</li> <li>• Financial</li> <li>• Trade</li> <li>• Group/company incorporation</li> <li>• Business</li> <li>• Group/company financial</li> <li>• Internal group/company divisions</li> <li>• Group/company departments</li> <li>• Group/company structure</li> <li>• Strategy</li> <li>• Operational</li> <li>• Contractor</li> <li>• Policyholder</li> <li>• Medical</li> <li>• Shareholder</li> </ul>	<ul style="list-style-type: none"> <li>• Subsidiary companies</li> <li>• External companies</li> <li>• Adviser</li> <li>• Broker</li> <li>• Consultant</li> <li>• Directors</li> <li>• Information technology</li> <li>• Employee</li> <li>• Client</li> <li>• Banking institutions</li> <li>• Product and services</li> <li>• Official/legal</li> <li>• Contracts</li> <li>• Policy documents</li> <li>• Rules of Funds</li> <li>• Board of Trustees decisions</li> <li>• Statutory required reports</li> <li>• Unsecured loans</li> <li>• Tax</li> </ul>

## **5. PRESCRIBED FEES**

- 5.1 The tariffs relating to a request are determined in accordance with the Regulations of the Act.
- 5.2 In order to access any records the requester must complete the prescribed form/s with sufficient detail.
- 5.3 The completed form must be forwarded to the Information Officer or Deputy Information Officer whose details are provided below (see section 9).
- 5.4 Once the completed form has been submitted the Information Officer will advise the requester if any fees will be payable and the payment methods and provide the requester with a written estimate of the fees which will be payable.
- 5.5 The Information Officer shall by notice request the requester to pay the prescribed fee before processing of the request further.
- 5.6 Please note that a request will not be processed until the request fee and the deposit (where applicable) has been paid.

## **6. DECISION**

- 6.1 The Information Officer will, as soon as reasonably possible, but within 30 days (or such other extended period determined and notified by the Information Officer, subject to the provisions of the Act), after the request has been received or after the requisite information pertaining to the request has been received:
  - 6.1.1 decide, in accordance with the Act, whether to grant the request;
  - 6.1.2 inform the requester of their right to correct any personal information; and
  - 6.1.3 notify the requester of the aforementioned decision.
- 6.2 Where the request is granted, the notice must contain the access fee applicable, the form in which access will be given; and outline the requisite dispute resolution procedures available to the requester should they be dissatisfied with the outcome.
- 6.3 Information, or parts thereof, may be refused in accordance with the grounds for refusal listed in Part 3, Chapter 4 of the Act.
- 6.4 Information which does not fall within the ambit of a recognised ground for refusal must be disclosed.
- 6.5 If all reasonable steps have been taken to find a record, and such a record cannot be found or if the records do not exist, then the Information Officer will notify the requester, by way of an affidavit or affirmation, that it is not possible to give access to the requested record.

## **7. THE PROCESSING OF PERSONAL INFORMATION**

### **7.1 The Purpose of the processing**

The BlueStar entities collect and process personal information:

- 7.1.1 to meet our responsibilities to Sanlam;
- 7.1.2 to meet our responsibilities to employees;
- 7.1.3 to meet our contractual responsibilities to third-party service providers;
- 7.1.4 to inform customers of products and services;
- 7.1.5 to comply with all legal and regulatory requirements, including industry codes of conduct;
- 7.1.6 to protect and pursue the legitimate interests of the Sanlam Group; and
- 7.1.7 for any further purposes related to the above.

## 7.2 Categories of data subjects

Shareholders	Subsidiary companies	Joint ventures
Advisors	Independent brokers	Directors
Employees	Customers	Officials
Banking institutions	Consultants	External companies / contractors
Offenders and suspected offenders	Suppliers and service providers	Investors
Policyholders and beneficiaries	Complainants	Professional advisers
Trustees	Pension Fund members	Employers and employees of other organisations
Prospective employees	Leads or prospective customers	Board members

## 7.3 Classes of personal information processed

Personal details	Financial details	Lifestyle and medical information
Education details	Employment details	Goods or services provided
Special personal information	Personal opinions and preferences	

## **7.4 Personal information may be received from or supplied to**

- 7.4.1 any regulatory authority (such as the Financial Sector Conduct Authority) and the regulators they appoint for the various financial sectors;
- 7.4.2 comply with any regulation passed under the relevant legislation, or any other legal process;
- 7.4.3 any legal or juristic person with an appropriate legal basis;
- 7.4.4 an executor of an estate, beneficiaries, or any other authorised representative;
- 7.4.5 pension fund administrators;
- 7.4.6 brokers, advisers, or intermediaries;
- 7.4.7 companies within the Sanlam Group;
- 7.4.8 law enforcement agencies;
- 7.4.9 media outlets; and
- 7.4.10 third-party service providers.

## **7.5 Trans border flow of information**

Further processing and storage may require that BlueStar entities send personal information to service providers outside of the Republic of South Africa. A BlueStar entity will not send your information to a country that does not have information protection legislation similar to that of the RSA, unless we have ensured that the recipient agrees to effectively adhere to the principles for processing of information in accordance with the Protection of Personal Information Act No 4 of 2013.

## **7.6 BlueStar entities security practices**

- 7.6.1 Information Security deals with the BlueStar entities information and IT security capability and practices.
- 7.6.2 Information Security deals specifically with the preservation of:
  - Confidentiality: ensuring that information is accessible only to those authorised to have access;
  - Integrity: safeguarding the accuracy and completeness of information and processing methods; and
  - Availability: ensuring that authorised users have access to information and associated assets when required.

7.6.3 Information Security is achieved by implementing a suitable set of responsibilities, controls, standards, processes and systems to ensure that the Information Security objectives of the BlueStar entities and that of the Sanlam Group are met, and as such Information Security is a tight domain in BlueStar entities and Sanlam, that ensures:

- Rules are set for secure conduct and earning trust.
- The rules are followed by participants.
- Trust is established between parties, notably:
  - Client and Partner trust in BlueStar entities and Sanlam's reputation through trust in their systems; and
  - BlueStar entities and Sanlam trust in interacting Client and Partner identities and their reputation.
- The security intelligence network that extends outside the organisation.
- Business is enabled because participants know it is safe to participate and know what is expected from them and what can be expected from other participants.
- Adequate monitoring and detection capabilities are maintained.
- Organised responses to incidents are effective and followed through into learning.

## **8. REQUESTS IN TERMS OF THE PROTECTION OF PERSONAL INFORMATION ACT**

8.1 The Protection of Personal Information Act (POPIA) allows a data subject, after having provided adequate proof of their identity, the right to:

8.1.1 Request the BlueStar entity to confirm, free of charge, whether or not it holds their personal information;

8.1.2 Submit a request for a record or description of their personal information;

8.1.3 Submit a request for access to their own personal information (by completing **Form 2 of the PAIA Regulations**);

8.1.4 Object to their personal information being processed (by completing **Form 1 of the POPIA Regulations**); and

8.1.5 Submit a request for the correct or deletion of their personal information (by completing **Form 2 of the POPIA Regulations**).

8.2 Please send your completed form to the BlueStar Support Office which address is set out in clause 9.

## 9. CONTACT DETAILS

Requests for information to the relevant BlueStar entity must be directed to the Blue Star Support Office which contact details are as set out below:

Name: BlueStar Support Office  
Telephone Number: 086 072 6526  
e.mail: BlueStarIO@sanlam.co.za

Address (street) 2 Strand Street  
Bellville  
7530

Address (postal) PO Box 1  
Sanlamhof  
7532

## 10. AVAILABILITY

This Manual, or any updated version hereof, is available:

- 10.1 on the Sanlam website (located at [www.sanlam.co.za](http://www.sanlam.co.za));
- 10.2 for public inspection, during normal business hours, at the principal place of business (located at 2 Strand Street, Bellville, 7532);
- 10.3 to any person upon request and upon the payment of the fee as determined by the Information Regulator; and
- 10.4 to the Information Regulator upon request.

## 11. GUIDE OF THE INFORMATION REGULATOR

- 11.1 In terms of section 10 of the Act and the PAIA Regulations, the Information Regulator must make the guide available in all the official languages of the Republic of South Africa. The guide contains information on how to make use of the Act and to access information held by Private Bodies which impacts on a person's rights. The guide can be obtained from the SAHRC or from their website. Their contact details are as follows:

Attention	The Information Regulator (South Africa)
Postal Address	JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001
Email	<a href="mailto:infoereg@justice.gov.za">infoereg@justice.gov.za</a>
Website	<a href="https://www.justice.gov.za/infoereg/">https://www.justice.gov.za/infoereg/</a>

- 11.2 Any person, may request a copy of the Guide, or any part thereof, from the BlueStar entity on a form that corresponds substantially with Form 1 of Annexure A to the Regulations.

## ANNEXURE A

### List of BlueStar Entities to which this Manual Applies

BlueStar Name	Registration number	Name of Legal Entity
2one2 BlueStar	2one2 Financial Solutions (Pty) Ltd	2019/042043/07
3sixty BlueStar	3Sixty Financial Services (Pty) Ltd	2013/231623/07
Abacon BlueStar	Abacon Financial Services (Pty) Ltd	2005/033135/07
Accensure BlueStar	Acceure Solutions (Pty) Ltd	2021/850038/07
Adelaar BlueStar	Adelaar Finansiele Dienste CC	2010/148349/23
Adjuvo Wealth BlueStar	Adjuvo Wealth (Pty) Ltd	2014/116433/07
Agathos BlueStar	Agathos Financial Services CC	2009/074184/23
Akata BlueStar	Kriel Finansiele Dieste (Pty) Ltd	2022/857821/07
Albatros BlueStar	Albatros Financial Services (Pty) Ltd	2016/489095/07
Alpha Waarde BlueStar	Alpha Waarde Finansiele Dienste CC	2010/136857/23
Altovex BlueStar	Altovex (Pty) Ltd	2015/296230/07
ALV BlueStar	ALV Financial Services (Pty) Ltd	2015/154415/07
Amica BlueStar	Amaco Financial Services (Pty) Ltd	2019/598083/07
Anchorfin BlueStar	Anchorfin (Pty) Ltd	2019/411719/07
Antaris BlueStar	Antaris Wealth (Pty) Ltd	2011/008609/07
Aquila Volo BlueStar	Zyor Financial Services CC	2009/105676/23
Aquila Wealth BlueStar	Aquila Wealth CC	2011/065344/23
Arcani BlueStar	Arcani Finansiele Dienste (Pty) Ltd	2013/235353/07
Arika BlueStar	Arika Horizon (Pty) Ltd	2022/403773/07
Artemis BlueStar	Quantifying Financial Services (Pty) Ltd	2009/157287/07
Artos BlueStar	Artos Solutions CC	2009/083655/23
Aureum BlueStar	ME Project Holdings (Pty) Ltd	2019/598232/07
Authentic BlueStar	Authentic Financial Services CC	2008/245169/23
Auxilium BlueStar	Auxilium Financial Services (Pty) Ltd	2010/011987/07
Bakerhouse BlueStar	Bakerhouse Financial Solutions CC	2010/001233/23
Bartlou BlueStar	Bartlou Financial Planners CC	2009/006503/23
Basecamp BlueStar	BaseCamp A (Pty) Ltd	2022/360369/07
Bendito BlueStar	Bendito Finansiele Dienste (Pty) Ltd	2015/373912/07
Beneficium BlueStar	Beneficium Financial Services (Pty) Ltd	2013/082014/07
Berghshire Wealth BlueStar	Berghshire Wealth (Pty) Ltd	2017/261200/07
Beryllium BlueStar	Mount Wealth (Pty) Ltd	2016/158426/07
Bestprestige BlueStar	BestPrestige (Pty) Ltd	2020/040300/07
Blignaut BlueStar	Blignaut and Associates financial services (Pty) Ltd	2018/226376/07
Bluefin BlueStar	Bluefin BlueStar CC	2010/109342/23
Boland BlueStar	Paarl Coastal Financial Services CC	2010/074324/23
Bosbok BlueStar	Bosbok Finansiele Dienste CC	2010/027119/23
Brilliance BlueStar	Brilliance Financial Services (Pty) Ltd	2015/442197/07
BSD BlueStar	BSD Financial Servies CC	2010/003781/23

Bullion Wealth BlueStar	Bullion Wealth Services (Pty) Ltd	2016/174142/07
Captivate BlueStar	Captivate Financial Services Advisory (Pty) Ltd	2012/169984/07
Carpe Vita BlueStar	Carpe Diem Wealth CC	2009/115097/23
Catalyst BlueStar	Chris and Annatjie CC	1999/026883/23
CBS Wealth BlueStar	CBS Wealth (Pty) Ltd	2015/118691/07
Cebekhulu BlueStar	CFS Wealth Solutions (Pty) Ltd	2019/209406/07
Celestial BlueStar	Celestial Wealth (Pty) Ltd	2014/276120/07
Cenvest BlueStar	Cenvest Holdings (Pty) Ltd	2022/427189/07
Certus BlueStar	Certus Financial Services (Pty) Ltd	2015/405704/07
Charisma BlueStar	Charisma Financial Services (Pty) Ltd	2015/125133/07
Citiglobal BlueStar	Citi Global (Pty) Ltd	2022/843875/07
Cito BlueStar	Cito Risk Managers (Pty) Ltd	2022/825880/07
Clear Road BlueStar	Clear Road Financial Services (Pty) Ltd	2013/028963/07
Comcare BlueStar	ComCare Financial Services (Pty) Ltd	2014/087640/07
Complectus Wealth BlueStar	Complectus Wealth CC	2010/172961/23
Concept Amax BlueStar	Succeed Financial Services (Pty) Ltd	2017/468565/07
Concept Atterbury BlueStar	Eco Financial Services (Pty) Ltd	2017/468565/07
Concept Bedfordview BlueStar	Elyzian Holdings (Pty) Ltd	2019/202718/07
Concept Bloemfontein BlueStar	Quafin Wealth Free State (Pty) Ltd	2015/039618/07
Concept Boerfin BlueStar	Boerfin Finnsiele Dienste (Pty) Ltd	2022/642950/07
Concept Bouvardia BlueStar	Equitas Financial Services (Pty) Ltd	2016/479700/07
Concept Camellia BlueStar	Equitas Financial Services (Pty) Ltd	2016/479700/07
Concept Constantia BlueStar	Paradigm Financial Services (Pty) Ltd	2017/501778/07
Concept Hennospark BlueStar	Salutis Financial Services (Pty) Ltd	2021/695210/07
Concept Insure BlueStar	Paradigm Financial Services (Pty) Ltd	2017/501778/07
Concept Lynnwood BlueStar	Stanworth Financial Services (Pty) Ltd	2019/004562/07
Concept Mzansi BlueStar	CWH2021 (Pty) Ltd	2020/908660/07
Concept Socrates BlueStar	Socrates Financial Services (Pty) Ltd	2013/027445/07
Concept Suid Kaap BlueStar	Concept Suid Kaap (Pty) Ltd	2022/541403/07
Concept Vaal to Coast BlueStar	Ursa Financial Services (Pty) Ltd	2018/390548/07
Concept Woodhill BlueStar	Pointblue Financial Services (Pty) Ltd	2010/008849/07
Conradieprisma BlueStar	Amalfi Wealth CC	2010/071184/23
Consortium BlueStar	Consortium Insurance Specialists (Pty) Ltd	2017/114985/07
Consult Us BlueStar	Consult Us Financial Services (Pty) Ltd	2011/008482/07
Cultura Wealth BlueStar	Cultura Wealth CC	2011/073573/23
Cura Deo BlueStar	Cura Deo Financial Servives (Pty) Ltd	2022/300247/07
Dashlife BlueStar	DashLife Holdings (Pty) Ltd	2020/551834/07
De Kaap BlueStar	Kelpie Investments (Pty) Ltd	2019/288196/07
Dial Sure BlueStar	Dial Sure Financial Services CC	2008/201932/23
Diamond BlueStar	Macfire Financial Services (Pty) Ltd	2020/134794/07
Dimension Wealth BlueStar	Dimension Wealth (Pty) Ltd	2002/000726/07
Dito BlueStar	Dito Wealth CC	2010/156507/23
Duxfin BlueStar	Duxfin Financial Services (Pty) Ltd	2013/111606/07

Dynamis BlueStar	Dynamis Financial Services (Pty) Ltd	2018/389718/07
E-fin BlueStar	E-Fin (Pty) Ltd	2013/227935/07
Ekwasure BlueStar	EkwaSure (Pty) Ltd	2019/424431/07
Elbro BlueStar	Elbro Finansiele Dienste (Pty) Ltd	2016/379397/07
Eldorado BlueStar	Eldorado Wealth CC	2010/031188/23
Elorus BlueStar	Elorus Financial Services CC	2000/012355/23
Employee Benefits BlueStar	Infinet Group Risk Solutions (Pty) Ltd	2007/017219/07
Envice BlueStar	Envice Wealth (Pty) Ltd	2022/410927/07
Epivest BlueStar	Epivest (Pty) Ltd	2021/672412/07
Escarpia BlueStar	Platorand Finansiele Dienste (Pty) Ltd	2012/060985/07
Essor Wealth BlueStar	Essor Wealth (Pty) Ltd	2018/036976/07
Eterno BlueStar	Sanmed Wealth (Pty) Ltd	2021/373469/07
Evagor BlueStar	Evagor Financial Services (Pty) Ltd	2011/100630/23
Evagor BlueStar	Evagor Financial Services (Pty) Ltd	2011/100630/23
Face to Face BlueStar	Face to Face BlueStar (Pty) Ltd	2013/018625/07
Finability BlueStar	Fin Ability CC	2003/017208/23
Fininvest BlueStar	FinInvest Financial Services (Pty) Ltd	2013/081330/07
Finprufe BlueStar	Finprufe Wealth Short Term Insurance (Pty) Ltd	2018/109057/07
Finprufe Wealth BlueStar	Finprufe Wealth (Pty) Ltd	2013/166984/07
Finstop BlueStar	Finstop (Pty) Ltd	2018/326659/07
Firebird Legacy BlueStar	Firebird Financial Services (Pty) Ltd	2016/331493/07
Firebird SCS South BlueStar	Firebird Financial Services (Pty) Ltd	2016/331493/07
Firebird BlueStar	Firebird Financial Services (Pty) Ltd	2016/331493/07
Firebird Wealth BlueStar	Firebird Financial Services (Pty) Ltd	2016/331493/07
Flexfin BlueStar	Alrai Wealth CC	2010/081310/23
Focus Wealth BlueStar	Focus Wealth (Pty) Ltd	2012/011164/07
Formentera BlueStar	Formentera CC	2006/160254/23
Future Planning BlueStar	Backoffice Planning (Pty) Ltd	2010/004438/07
Future Vision BlueStar	Future Vision Investments (Pty) Ltd	2014/077411/07
Gayede BlueStar	Gayede Wealth Solutions (Pty) Ltd	2021/109017/07
Gemstone Wealth BlueStar	Gemstone Wealth (Pty) Ltd	2015/421838/07
Gladiator BlueStar	Gladiator Financial Services (Pty) Ltd	2015/250191/07
Goliath BlueStar	Goliath Financial Services (Pty) Ltd	2015/319569/07
Good Forsure BlueStar	Good Forsure Financial Services (Pty) Ltd	2011/119738/07
GP BlueStar	Randvaal Financial Services CC	2011/010108/23
Gracien BlueStar	Gracien Wealth Creation (Pty) Ltd	2018/027791/07
Graduate Wealth BlueStar	Graduate Wealth Financial Services CC	2008/258419/23
Graviton BlueStar	Simeka Wealth (Pty) Ltd	2015/387995/07
Herbst BlueStar	Herbst Financial Solutions (Pty) Ltd	2011/004512/07
Heritage Wealth BlueStar	K2017139651 (South Afrika) (Pty) Ltd	2017/139651/07
Humanity Wealth BlueStar	Humanity Wealth (Pty) Ltd	2015/256385/07
Iglobal BlueStar	Iglobal Advisory Solutions (Pty) Ltd	2014/270757/07
Immo Vero BlueStar	ImmoVero Finansiele Dienste (Pty) Ltd	2014/031389/07

Imperium BlueStar	Imperium Groep Finansiële Dienste (Pty) Ltd	2020/766266/07
In4sure BlueStar	In4Sure (Pty) Ltd	2018/342957/07
Incoast BlueStar	InCoast (Pty) Ltd	2021/907776/07
Indibay BlueStar	Indibay Financial Services (Pty) Ltd	2015/360998/07
Indwe BlueStar	Indwe Financial Services (Pty) Ltd	2003/018399/07
Infineo BlueStar	Infineo Wealth Proprietary Limited (Pty) Ltd	2015/053430/07
Inkasa BlueStar	Inkasa Financial Services (Pty) Ltd	2014/235760/07
Integra Wealth BlueStar	Integra Wealth (Pty) Ltd	2017/301618/07
Intelliplan BlueStar	IntelliPlan Financial Services (Pty) Ltd	2014/061797/07
Inveritas BlueStar	Inveritas (Pty) Ltd	2019/297532/07
Iqfinity BlueStar	iQfinity (Pty) Ltd	2022/275417/07
Iustus BlueStar	Iustus Financial Solutions CC	2010/169087/23
Jacaranda BlueStar	Jacaranda Financial Solutions (Pty) Ltd	2014/143271/07
Jage BlueStar	Jage Financial Services (Pty) Ltd	2016/447795/07
JBM BlueStar	JBM BlueStar CC	2009/096083/23
Jenwil BlueStar	Jenwil Financial Solutions (Pty) Ltd	2016/407538/07
Johnston BlueStar	PSC Finansiële Dienste CC	1995/037350/23
Juksta BlueStar	Juksta Finansiële Dienste (Pty) Ltd	2013/079728/07
Kalika BlueStar	Areeka Advisory (Pty) Ltd	2022/504271/07
Kallisnel BlueStar	Kallisnel and Associates Financial Services CC	2008/253062/23
Karoo Wealth BlueStar	Karoo Wealth (Pty) Ltd	2015/372752/07
Kei Coast BlueStar	Kei Coast Solutions (Pty) Ltd	2019/370878/07
Khulisa Ilifa BlueStar	Khulisani Lifa (Pty) Ltd	2020/763364/07
Kigna BlueStar	Kigna Financial Servicesv (Pty) Ltd	2015/046258/07
Komatiland BlueStar	Komatiland Consultants (Pty) Ltd	2021/863505/07
Kompas BlueStar	Kompas Financial Services (Pty) Ltd	2016/531098/07
Kosmos BlueStar	Kosmos Financial Planners CC	2009/002768/23
Krugerpark BlueStar	KrugerPark Finansiële Dienste (Pty) Ltd	2012/145510/07
Kudos BlueStar	Kudos Dynamic Consulting Solutions (Pty) Ltd	2022/326603/07
Kunisure BlueStar	Direct Knowledge Consultants (Pty) Ltd	2016/479970/07
Kwi-Nxili BlueStar	TNYG Holdings (Pty) Ltd	2023/663924/07
Legacy BlueStar	IPW Financial Services (Pty) Ltd	2012/180723/07
Lethindalo BlueStar	Lethindalo Financial Solutions (Pty) Ltd	2023/658358/07
Lighthouse BlueStar	Lighthouse Financial Services CC	2009/068943/23
Limbic BlueStar	Zenzele Wealth Solutions (Pty) Ltd	2016/390834/07
Litera BlueStar	Litera Financial Service (Pty) Ltd	2017/251727/07
Lokisha BlueStar	Lokisha (Pty) Ltd	2019/586416/07
Lonfin Wealth BlueStar	Lonfin Wealth Managers (Pty) Ltd	2018/571157/07
Lukna BlueStar	Luknat Weath (Pty) Ltd	2016/429745/07
Maleba BlueStar	Leano La Maleba (Pty) Ltd	2018/034281/07
Marlin BlueStar	Marlin Financial Services CC	2009/191290/23
Martial BlueStar	Martial Financial Services (Pty) Ltd	2020/803589/07
Matetsi BlueStar	Matetsi Financial Solutions (Pty) Ltd	2014/154811/07

Matisec BlueStar	Matisec (Pty) Ltd	2017/154444/07
Meander BlueStar	Nomani General Trading (Pty) Ltd	2016/545037/07
Mereo BlueStar	Mereo Financial Services CC	2010/070138/23
Midfokus BlueStar	Competo Financial Services CC	2010/169074/23
Millenium BlueStar	Millenium Wealth (Pty) Ltd	2019/104971/07
Millionsure BlueStar	Arcani Finansiele Dienste (Pty) Ltd	2013/235353/07
Mimir BlueStar	Citi Global (Pty) Ltd	2022/843875/07
Mlibo BlueStar	Mlibo Wealth Creation (Pty) Ltd	2019/093810/07
Modika BlueStar	Modika Insure (Pty) Ltd	2019/124655/07
Montis BlueStar	Montis Financial Services CC	2009/137053/23
Nassaux BlueStar	Nassaux Financial Services (Pty) Ltd	2014/086712/07
Nautical BlueStar	Nautical Financial Services CC	2010/070135/23
Nebula BlueStar	Nebula Financial Services (Pty) Ltd	2016/116134/07
NFA BlueStar	NFA Finansiele Dienste (Pty) Ltd	2012/112684/07
Nhlamvana BlueStar	Nhlamvana 44 (Pty) Ltd	2021/955116/07
Nomcebo BlueStar	Nomcebo Capital (Pty) Ltd	2014/084480/07
Northern BlueStar	25 Five Financial and Investment Solutions (Pty) Ltd	2013/194559/07
Nu Veld BlueStar	Nu Veld Finansiele Dienste CC	2010/111797/23
Okuhle BlueStar	Thembaletu Financial Services (Pty) Ltd	2016/085857/07
Onesta BlueStar	Onesta Finansiele Dienste (RF) (Pty) Ltd	2011/141345/07
Optume BlueStar	Optume Wealth Financial Service CC	2010/157694/23
Oracle BlueStar	Oracle Investment Solutions (Pty) Ltd	2017/183710/07
Ori-vaal BlueStar	Ori-Vaal Finansiele Dienste CC	2000/032666/23
Outeniqualand BlueStar	Outeniqualand Finansiele Dienste (Pty) Ltd	2019/089081/07
Palacio BlueStar	Paramount Financial Services (Pty) Ltd	2022/299016/07
Peak BlueStar	Peak Management Services (Pty) Ltd	2018/100814/07
Pearl BlueStar	Pearl Financial Services (Pty) Ltd	2019/164022/07
Peninsula BlueStar	Peninsula Financial Services (Pty) Ltd	2018/252401/07
Peritum BlueStar	Peritum Financial Services (Pty) Ltd	2003/006685/07
Persisto BlueStar	Persisto Financial Services CC	2010/169083/23
PGM BlueStar	PGM Financial Services (Pty) Ltd	2018/044924/07
Pinnacle BlueStar	SPG Financial Services (Pty) Ltd	2009/001402/07
Plan4u BlueStar	Plan4U Financial Services (Pty) Ltd	2021/565253/07
Plan-B BlueStar	Silver Solutions 3119 CC	2010/140310/23
Platina BlueStar	Platina Risk Services (Pty) Ltd	2021/612382/07
Plutus BlueStar	LPYG (Pty) Ltd	2020/265605/07
Premier BlueStar	PB Premier Financial Services (Pty) Ltd	2014/091680/07
Prenorth BlueStar	Ifin Financial Services (Pty) Ltd	2015/004550/07
Principeo BlueStar	Compass101 (Pty) Ltd	2021/321614/07
Proficient BlueStar	Proficient Finansiele Dienste (Pty) Ltd	2016/138875/07
Progressus BlueStar	Progressus Finansiele Dienste (Pty) Ltd	2018/323623/07
Progrow BlueStar	ProGrow Financial Solutions (Pty) Ltd	2021/780246/07
Provisory BlueStar	Provisory Financial Services (Pty) Ltd	2022/277012/07

Purpose BlueStar	Purpose Financial Services (Pty) Ltd	2018/244990/07
Pursue BlueStar	Pursue Financial Services (Pty) Ltd	2015/044623/07
Quantifying BlueStar	Quantifying Financial Services (Pty) Ltd	2019/157287/07
Rainmaker BlueStar	The Rainmaker Financial Services CC	2007/023987/23
Rathusha BlueStar	Rathusha (Pty) Ltd	2011/129461/07
Re- Cover BlueStar	Recover Wealth (Pty) Ltd	2022/601467/07
Rebus BlueStar	Rebus Short Term Insurance Specialists (Pty) Ltd	2016/282728/07
Renepapula BlueStar	Afika Afrika (Pty) Ltd	2017/410686/07
Resolute BlueStar	Resolute Financial Services (Pty) Ltd	2020/619757/07
Reya Phahama BlueStar	Reya Phahama Financial Services (Pty) Ltd	2021/928921/07
Riscofin BlueStar	Riscofin Financial Services (Pty) Ltd	2008/022760/07
Ruimsig BlueStar	Ruimsig Wealth Financial Services CC	2010/165985/23
Sage4u BlueStar	Sage4U Financial Services (Pty) Ltd	2017/299633/07
Sakhumzi BlueStar	Sakhumzi Financial Services and Projects (Pty) Ltd	2018/422616/07
San-Etos Wealth BlueStar	San-Etos Wealth (Pty) Ltd	2011/111023/07
Sanplex BlueStar	Sanplex Finansiële Dienste (Pty) Ltd	2008/029032/07
Sapphirus BlueStar	Sapphirus (Pty) Ltd	2018/563072/07
Sasolburg BlueStar	Sasolburg Advisory Services CC	2008/185008/23
Servi BlueStar	Servi Financial Services CC	2007/116335/23
SFG BlueStar	Millionsure Risk Services (Pty) Ltd	2019/306062/07
Shalamar BlueStar	Shalamar Finance (Pty) Ltd	2022/810046/07
Sleewijk BlueStar	Sleewijk Financial Services CC	2009/072948/23
Soetdoring BlueStar	Soetdoring Financial Services CC	2010/135812/23
Spectroplan BlueStar	Spectroplan (Pty) Ltd	2019/524058/07
Status Wealth BlueStar	Status Wealth CC	2009/129060/23
Steenkamp Wealth BlueStar	Steenkamp Wealth (Pty) Ltd	2013/180005/07
Strata BlueStar	Symmetry Financial Specialist (Pty) Ltd	2007/219971/23
Supernova BlueStar	SuperNova Financial Services (Pty) Ltd	2013/088686/07
SWD BlueStar	SWD Finansiële Dienste (Pty) Ltd	2015/445034/07
Syncarpha BlueStar	Syncarpha (Pty) Ltd	2021/554732/07
Synfinex BlueStar	Synfinex Financial Services (Pty) Ltd	2020/147712/07
Synfinex BlueStar	Synfinex Financial Services (Pty) Ltd	2020/147712/07
Termsure BlueStar	Termsure (Pty) Ltd	2022/218287/07
Thabani BlueStar	Thabani Mlambo Wealth Management Group (Pty) Ltd	2017/506746/07
Thambsy BlueStar	Thambsy (Pty) Ltd	2020/733108/07
Thinkvest BlueStar	Isayamo Trading (Pty) Ltd	2014/223349/07
Threeway BlueStar	Threeway Financial Services (Pty) Ltd	2009/024134/07
Tooley BlueStar	T Smal Versekeringsmakelaars CC	1995/019200/23
Trapezium BlueStar	Trapezium Financial Services (Pty) Ltd	2013/090662/07
Treasurers BlueStar	Chartfin (Pty) Ltd	2018/117220/07
Turn2 BlueStar	Turn2 Financial Services (Pty) Ltd	2020/441884/07
Uhambo BlueStar	Uhambofs Wealth (Pty) Ltd	2014/060795/07
Umsimelelo BlueStar	uMsimelelo Financial Services CC	2009/101231/23

Verifin BlueStar	Verifin Solutions (Pty) Ltd	2013/067622/07
Verifin STI BlueStar	Verifin Korttermyn CC	2011/035217/23
Veritas BlueStar	Veritas Wealth Services (Pty) Ltd	2015/330972/07
Veros BlueStar	Veros Financial Services CC	2007/114074/23
Vestpro Wealth BlueStar	Vestpro Wealth (Pty) Ltd	2016/422992/07
Vikor BlueStar	Vikor Financial Services (Pty) Ltd	2010/015941/07
VIP BlueStar	VIP Financial Services (Pty) Ltd	2012/185563/07
Vivendo BlueStar	Vivendo (Pty) Ltd	2015/057963/07
Walkin Wealth BlueStar	Walkin Wealth CC	2010/159529/23
Wealthvision BlueStar	Wealthvision Financial Services CC	2009/140241/23
Werner Vosloo BlueStar	Werner Vosloo and Associates CC	2008/257989/23
Westgold BlueStar	Westgold Wealth Financial Services CC	2010/115506/23
Xzeqsure BlueStar	Xzeqsure (Pty) Ltd	2008/005341/07
Yadah BlueStar	Yadah Financial Solutions (Pty) Ltd	2013/224130/07
Yuvic BlueStar	Yuvic Financial Services CC	2006/079598/23
Zinariya BlueStar	Dlephu and Hobho (Pty) Ltd	2022/727631/07
Zizaru BlueStar	Zizaru Brokers (Pty) Ltd	2021/539970/07

## ANNEXURE B

### Records held in accordance with the following legislation

Administration of Estates Act (No. 66 of 1965)	Long-Term Insurance Act (No. 52 of 1998)
Employment Equity Act (No. 55 of 1998)	Inspection of Financial Institutions Act (No. 80 of 1998)
Skills Development Levies Act (No. 9 of 1999)	National Credit Act (No. 35 of 2002)
Labour Relations Act (No. 66 of 1995)	Pension Funds Act (No. 24 of 1956)
Basic Conditions of Employment Act (No. 75 of 1997)	Financial Markets Act (No. 19 of 2012)
Unemployment Insurance Act (No. 63 of 2001)	Financial Intelligence Centre Act (No. 38 of 2001)
Compensation of Occupational Injuries and Diseases Act (No. 130 of 1993)	Financial Advisory and Intermediary Services Act (No. 37 of 2002)
Occupational Health and Safety Act (No. 85 of 1993)	Collective Investment Schemes Act (No. 45 of 2002)
Income Tax Act (No. 58 of 1862)	Trade Marks Act (No. 194 of 1993)
Value Added Tax Act (No. 89 of 1991)	Participation Bonds Act (No. 55 of 1981)
Insolvency Act (No. 24 of 1936)	National Payment Systems Act (No. 78 of 1998)
Companies Act (No. 61 of 1973)	Financial Institutions Protection of Funds Act (No. 28 of 2001)
Companies Act (No. 71 of 2008)	Cybercrimes Act (No. 19 of 2020)
Competition Act (No. 89 of 1998)	Regulation of Interception of Communications and Provision of Communications-related Information Act (No. 70 of 2002)
Consumer Affairs (Unfair Business Practices Act) (No. 71 of 2008)	Protection of Personal Information Act (No. 4 of 2013)
Consumer Protection Act (No. 68 of 2008)	Prevention of Organised Crime Act (No. 121 of 1998)
Trust Property Control Act (No. 57 of 1998)	Short-term Insurance Act (No. 54 of 1998)
Prevention and Combating of Corrupt Activities Act (No. 12 of 2004)	Prevention and Combating of Corrupt Activities Act (No. 12 of 2014)
Insurance Act (No. 18 of 2017)	South African Reserve Bank Act (No. 90 of 1989)
Financial Sector Regulation Act (No. 9 of 2017)	
Protection of Constitutional Democracy Against Terrorist and Related Activities Act (No. 33 of 2004)	
Medical Schemes Act (No. 131 of 1998)	
Copyright Act (No. 98 of 1978)	
Arbitration Act (No. 42 of 1965)	