



## Hedge Funds Additional Investment Form (existing investors)

### Submit the completed form and supporting documents to:

E-mail [forms@sanlamhedgefunds.com](mailto:forms@sanlamhedgefunds.com)

### If you have any questions, contact us on:

Tel +27 (21) 916 6910

E-mail [service@sanlamhedgefunds.com](mailto:service@sanlamhedgefunds.com)

### Important information

- I confirm that I have read and understood the Minimum Disclosure Documents prior to investing in the Sanlam Collective Investments Qualified Investor Hedge Fund Scheme
- I confirm that I have read and understood the Upfront Disclosures prior to making this investment.
- Your investment instruction is subject to our [Terms and conditions](#). The personal information collected is also subject to our Privacy statements. If you provide us with the personal information of other persons, you warrant that you have the necessary consent or other justification to do so.

- Completing the information correctly will ensure that there is no delay in processing your request.
- Initial any changes you make on the form.
- The registered investor or authorized signatory must date and sign the form.
- Write instructions inside the allocated fields. All information outside the fields will be omitted.
- Return pages **1 to 3** to us with the relevant additional sections below.
- Complete and return the following sections if applicable:
  - **Appoint a financial adviser / broker - Form A**
  - **Authorisation from bank account holder - Form B**

### Investor details

Investor code(s) \_\_\_\_\_

Title \_\_\_\_\_

Full name and surname / Name of Legal Entity \_\_\_\_\_

ID number / Legal Entity Registration number \_\_\_\_\_

**OR**

Passport Number (if foreign national): \_\_\_\_\_

### Investor declaration

I confirm that I:

- have read and understand the important notes, terms, and conditions on the first page.
- have the authority and am legally competent to enter and conclude this transaction, with the necessary legal assistance when it is required.
- are aware that the legal guardian must sign the instruction on behalf of a minor (if applicable).

Signature of Investor \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

Authorised signatory\* \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

Authorised signatory\* \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

\*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

## 1. Investment instruction

Please select the fund(s) you would like to invest in and indicate the amount you would like to invest.

Please review the full list of funds with the applicable fund minimums and fees, please refer to the [Minimum Disclosure Document](#).

\* If you do not specify a fund class, your investment will be allocated to a default class

Hedge Fund Name	Class*	Investment amount (your deposit)	Income distribution	
			Reinvest	Payout

Once off investments require a 15-day clearance period. Funds cannot be accessed if not been cleared by the bank.

## 2. Source of funds for this investment

Please advise where the funds for this investment comes from: *(compulsory)*

Salary    Inheritance    Savings    Bonus    Other *(please specify)* \_\_\_\_\_

## 3. Payment Instruction

### 3.1 You pay via an Electronic Fund Transfer (EFT)

Lump sum deposit

- To comply with regulatory requirements, the Financial Intelligence Centre Act requires us to identify and verify you before investing your funds.
- Once this is complete, we will provide you with our Sanlam Collective Investment's bank details to make your payment.
- We will provide the Hedge fund account number and banking details to you within 2-3 days.
- Your Hedge fund account number is required as your deposit reference number.
- Please submit proof of payment to [forms@sanlamhedgefunds.com](mailto:forms@sanlamhedgefunds.com)
- Once your EFT deposit reflects in our bank account and you have provided us with proof of payment, we will process the investment.
- Payments can be made via (EFT) Electronic fund transfers: These may take up to two days to reflect in our bank account, which may result in a delay.
- Debit orders are not available for this product.
- We do not accept cash deposits
- All deposits must be in South African Rand or we will return your funds

#### 4. Investor banking details

The banking details specified will be used for

- Disinvesting
- Income distribution payments
- Payments cannot be made to third parties.
- We will only make payments into the account of the registered investor.
- We are unable to make payments into credit cards, money market, bond accounts or policies.

Bank account holder \_\_\_\_\_

Identity / Entity registration number \_\_\_\_\_

Name of bank \_\_\_\_\_

Account number \_\_\_\_\_

Name of branch \_\_\_\_\_

Branch code \_\_\_\_\_

Type of account: Current  Savings

I / we here by confirm that the banking details above is correct.

Signature of bank account holder \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

Authorised signatory on bank account \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)  
(If applicable)

Authorised signatory on bank account \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)  
(If applicable)



## Form A - Appoint a financial adviser / broker

Complete and submit this section with your investment application form if you received advice from a financial adviser.

### Important information

Only one financial adviser is applicable per investor.

All fees are explained in the Minimum Disclosure Document (MDD).

Should you wish to change the financial adviser on your accounts, please complete an *Investor Details Update Form*.

#### Initial advice fee:

- Maximum amounts payable as an initial advice fee are explained in the MDD's.
- Initial advice fees are applied to each contribution and deducted before the investment is made on your Client Account.

#### On-going advice fee:

- This annual advice fee is not applicable to funds or classes where a trailer fee is already included in the service fee.
- The annual advice fee is calculated on the daily market value of the investment portfolio, paid to the financial adviser monthly. It is paid in arrears and from the sale of units from the investor's client account, thereby reducing the units.
- To cancel the on-going advice fee, complete an *Investor Details Update form*, available at [www.sanlaminvestments.com](http://www.sanlaminvestments.com)

### Financial adviser details

I wish to appoint the following financial adviser as the preferred adviser on all my Sanlam Collective Investment accounts.

Adviser / Broker code \_\_\_\_\_

Full name(s) \_\_\_\_\_ Surname \_\_\_\_\_

### Fee instruction

I agree to pay the following Initial and On-going Advice Fee (excluding VAT).

Unit Trust Fund Name	Initial Advice Fee %	On-going Advice Fee %

- If you do not fill in any fees, it will default to 0%.
- If the fund selected does not allow an On-going advice fee, the fee will default to 0%.
- If you have selected a fee greater than that of the fund's maximum, the fee will default to the fund's maximum.
- Any fees for existing investments indicated on this form will be applied to all future transactions.

Signature of Investor \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

\*Authorised signatories acting on behalf of the investor (e.g. parents / guardians of a minor and persons authorised to act on behalf of the investor).

## Sanlam financial adviser / broker declaration

### Sanlam financial adviser:

#### Financial advice

It is the adviser's responsibility to complete the advice documents for this transaction and forward them, with this application form, to Sanlam.

#### FICA declaration

I confirm that the investor recorded in this application, or the person acting on their behalf, confirmed his / her identity with original, acceptable FICA documents.

Copies of these documents are attached.

Does this application replace the whole or part of an existing product? Yes  No

If "Yes", please submit completed AEB2065 and E2794 forms with the FAIS documents.

\_\_\_\_\_  
Signature of Sanlam financial adviser

### Broker:

#### FSP license

I declare that I am a licensed financial services provider or a representative of a financial service provider. I am authorised to sell unit trusts.

FSP license number: \_\_\_\_\_

#### FICA declaration

I confirm that I have identified the investor of this application, as well as the person acting on their behalf (*if applicable*). I have verified their identity in line with the requirements of the Financial Intelligence Centre Act, 38 of 2001 (FICA) and any legislation regulations or guidelines related to it.

Copies of these documents are attached.

\_\_\_\_\_  
Signature of broker



## Form B - Authorisation from bank account holder

- Complete and submit this section if the payment is from a third party's bank account.
- Copy of Identity document is required for the third-party payer.

Investor name and surname \_\_\_\_\_

### Third Party information

Title \_\_\_\_\_ First name(s) \_\_\_\_\_

Surname \_\_\_\_\_

Date of birth \_\_\_\_\_ (ddmmccyy) Country of birth \_\_\_\_\_

ID number \_\_\_\_\_

**OR** Passport (if foreign national): \_\_\_\_\_ **OR** Social security number \_\_\_\_\_

Number \_\_\_\_\_

Expiry date \_\_\_\_\_

(ddmmccyy)

Country \_\_\_\_\_

Residential address \_\_\_\_\_

Postal code \_\_\_\_\_

Country \_\_\_\_\_

Email address \_\_\_\_\_

Cell / Mobile \_\_\_\_\_

Relationship to investor \_\_\_\_\_

Occupation \_\_\_\_\_

Self Employed Yes  No

If yes, what is the nature of your business \_\_\_\_\_

Are you currently holding the position/office of a foreign prominent public official or a domestic prominent influential person or have done so during the past 12 months? Yes  No

*An individual who has been entrusted with a prominent public function, for example senior government officials, ministers, politicians, judicial or military officials, senior executives of state-owned corporations. You are also considered a politically exposed person if you are a family member or close associate of the above*

Please specify where the funds for this investment come from.

Salary  Inheritance  Savings  Bonus  Other (Specify) \_\_\_\_\_

### Third Party banking details

Bank account holder \_\_\_\_\_

Name of bank \_\_\_\_\_

Account number \_\_\_\_\_

Name of branch \_\_\_\_\_

Branch code \_\_\_\_\_

Type of account Current  Savings

### Declaration

I / We here by confirm that the banking details above is correct.

Signature of bank account holder \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)

Authorised signatory on bank account \_\_\_\_\_ Date \_\_\_\_\_ (ddmmccyy)