Sanlam

Investments

# Hedge Funds Transfer Form

## Hedge Fund Schemes

**Submit the completed form and supporting documents to:** E-mail forms@sanlamhedgefunds.com

**If you have any questions, contact us on:**
Tel +27 (21) 916 6910 E-mail service@sanlamhedgefunds.com

## Important Information

- By completing this form, you are applying to transfer ownership of your unit trust investment to another person or legal entity (called a 'beneficiary').
- This form will be used to process your transfer instruction only. Should you wish to change your personal information or contribution(s), you will need to complete an Investor Details Update form, available on www.sanlamhedgefunds.com.
- We will only proceed with transfer instructions once the form has been completed, dated and signed by the investor and / or authorised signatories and we have received all the necessary Financial Intelligence Centre Act 38 of 2001 (FICA) requirements.
- Transfers for deceased investors must be completed and signed by the executor(s) of the deceased investor's estate.
- Other transfers must be completed and signed by the individual / entity making the transfer (the investor) as well as the entity that the units are being transferred to the beneficiary.
- Any writing/instruction outside of the allocated fields will not be processed.
- Your investment instruction is subject to our Terms and conditions. The personal information collected is also subject to our Privacy statement. If you provide us with the personal information of other persons, you warrant that you have the necessary consent or other justification to do so.

## 1. Investor Details (all fields are compulsory)

Investor code ____________________

Title Mr ☐ Mrs ☐ Miss ☐ Other (specify) ____________________

Full name(s) and surname / Legal Entity Name ____________________

Identity number / Passport number / Entity registration number ____________________ Passport expiry date ____________________ (ddmmccyy)

Contact number ( ) ( ) ____________________
Specify country and area codes, e.g. +27 21 555 5555

E-mail address ____________________

## 2. Transfer options

If the beneficiary of the account is not an existing investor, this person or entity must complete a Retail or Qualified Investors Hedge Fund Application form for new investors (available at www.sanlamhedgefunds.com) and submit it with this form. Standard rules and FICA requirement checks will apply.

Transfers to spouses (only to be completed if transfer is from a deceased estate): Yes ☐ No ☐
If "Yes", please supply a copy of the marriage certificate.

**Please select either Rand or units or all units:** (if no class is specified, it will be allocated to a default class)

**1.**

**FROM**

| Full name of unit trust fund(s) | Class | Amount (R) | OR | Units | OR | All Units |
|---|---|---|---|---|---|---|
| | | | | | | |

**TO**

| Beneficiary / entity name | Investor code: (if existing client) |
|---|---|
| | |

**FROM**

| Full name of unit trust fund(s) | Class | Amount (R) | OR | Units | OR | All Units |
|---|---|---|---|---|---|---|
| | | | | | | |

**TO**

| Beneficiary / entity name | **Investor code:** (if existing client) |
|---|---|
| | |

**FROM**

| Full name of unit trust fund(s) | Class | Amount (R) | OR | Units | OR | All Units |
|---|---|---|---|---|---|---|
| | | | | | | |

**TO**

| Beneficiary / entity name | **Investor code:** (if existing client) |
|---|---|
| | |

## 3. Signature of investor

Investor signature ____________________ Date signed ____________ (*ddmmccyy*)

OR

### Authorised signatory/(ies) acting on behalf of the investor

(e.g. parents / guardians of a minor, and all persons authorised to act on behalf of the investor)

Title Mr ☐ Mrs ☐ Miss ☐ Other (specify) ____________________

Full name(s) and surname ____________________

Capacity ____________________

Identity number / Passport number ____________ Passport expiry date ____________ (*ddmmccyy*)

Contact telephone ( ) ( ) ____________
Specify country and area codes, e.g. +27 21 555 5555

Signature of authorised signatory/(ies) ____________________ Date signed ____________ (*ddmmccyy*)

## 4. Broker / Sanlam financial adviser details (if applicable)

Only one broker/adviser is applicable per investor code.

Full name(s) and surname ____________________

Code ____________

Contact telephone ( ) ( ) ____________
Specify country and area codes, e.g. +27 21 555 5555

E-mail address ____________________