



Hedge Funds Switch Form Hedge Fund Schemes

Submit the completed form and supporting documents

to: E-mail forms@sanlamhedgefunds.com

If you have any questions, contact us on:

Tel +27 (21) 916 6910

E-mail service@sanlamhedgefunds.com

Switching involves selling units in one hedge fund unit trust, and with the proceeds raised from that, buying units in another hedge fund. You can switch your units at any time. You may only switch units within a scheme, ie within a Retail Investor Hedge Fund Scheme or within a Qualified Investor Hedge Fund Scheme. You may not switch between a Hedge Fund Scheme and a traditional unit trust.

Important information

- Please read all the information in this form carefully, including the terms and conditions in section 6, and ensure you understand it.
- This form will be used to process your switch instruction only. Should you wish to change your personal information or your regular withdrawal, you will need to complete an Investor Details Update form, available on www.sanlamhedgefunds.com
- We will only proceed with the switch once the form has been completed, dated, and signed by the investor and / or authorised signatories and we have received all of the necessary supporting documents. This includes the Financial Intelligence Centre Act 38 of 2001 (FICA), and Financial Advisory and Intermediary Services Act 37 of 2002 (FAIS) requirements, if applicable.
- Please initial the bottom of each page as well as any changes made on this form.
- Any writing/instruction outside of the allocated fields will not be processed.
- Your investment instruction is subject to our [Terms and conditions](#). The personal information collected is also subject to our Privacy statement. If you provide us with the personal information of other persons, you warrant that you have the necessary consent or other justification to do so.

1. Investor details *(all fields are compulsory)*

Investor's code _____

Title: Mr Mrs Miss Other *(please specify)* _____

Full name(s) _____

Surname / registered name of legal entity _____

Identity number / Passport number / Entity registration number _____

Contact telephone number () () _____
Country and area codes, e.g. +27 21 555 5555

E-mail address _____

Please note: If you have provided different contact details on this form to what we currently have on our records, your details will not be updated until we receive a completed Investor Details Update Form, available on www.sanlamhedgefunds.com

2. Switch options

Switches between unit trust investments and hedge fund investments are not allowed

Please select either Rand or units or all units Fund minimums apply, refer to our Minimum Disclosure Documents at www.sanlamhedgefunds.com

* If no class is specified, it will be allocated to a default class.

1. From:

Hedge Fund Name	Class*	Total amount (R)	OR	Units	OR	All Units

To:

Hedge Fund(s)	Class*	Amount (R)	OR	% of units

2. From:

Hedge Fund Name	Class*	Total amount (R)	OR	Units	OR	All Units

To:

Hedge fund(s)	Class*	Amount (R)	OR	% of units

3. Income distribution payments

- If you have switched the total investment value of a fund, we will credit the distributions for that fund to the new fund.
- If you switched all units and there are no payment details on record, the income will be paid into the new fund.
- Should future income (interest and/or dividends) be paid out, please complete the Investor Details Update form available at www.sanlamhedgefunds.com

4. Financial adviser

4.1 Broker / Sanlam financial adviser details (if applicable)

Full name(s) _____ Code _____

Surname _____

Identity number _____

Contact telephone number () () _____
Country and area codes, e.g. +27 21 555 5555

E-mail address _____

Commission instruction*:

I wish to appoint the broker / financial adviser whose details appear above as my preferred intermediary on this application to switch.

Broker / Financial adviser details continued

Ongoing advice fee:

Ongoing financial advice fee: _____ % per annum (negotiable up to a maximum of 1%, excluding VAT).

Signature of investor / authorised signatory/(ies) _____ (compulsory)

Please note

- This annual advice fee is based on the daily market value of the investment portfolio, paid to the broker / financial adviser monthly, in arrears from the sale of units (unit reduction).
- This is not part of the normal annual management fee charged by Sanlam.
- To cancel the ongoing advice fee, please complete an Investor Details Update form, available from www.sanlamhedgefunds.com
- This annual advice fee is not applicable to funds / classes where a trailer fee is already included in the service fee, as explained in the Minimum Disclosure Documents.

4.2 Cancellation of existing ongoing financial advice fee

Cancel my existing ongoing financial advice fee Yes No

4.3 Preferred broker / financial adviser appointment

I confirm that I wish to appoint the above broker / financial adviser as my preferred financial intermediary on all my Sanlam hedge funds. All future commission, trailer and ongoing financial advice fees (if applicable) must be paid to this newly appointed broker / financial adviser until further notice.

I am aware that the financial intermediary, who previously introduced me to Sanlam unit trusts, will now be replaced with this new appointment. I also understand that the above appointed (preferred) new broker / financial adviser will now receive the commission on the contribution(s), ad hoc investments, trailer and ongoing financial advice fees (if applicable) that was previously paid.

Signature of investor / authorised signatory/(ies) _____

4.4 Declaration by broker / Sanlam financial adviser

Sanlam financial adviser

Financial advice

Was financial advice provided? Yes No

It is the adviser's responsibility to complete the advice documents for this transaction and forward them, with this application form, to Sanlam.

Signature of Sanlam financial adviser

Broker

FSP license

FSP license number _____

I declare that I am a licensed financial services provider or a representative of a financial service provider. I am authorised to sell unit trusts

Signature of broker

5. Investor declaration

1. I / We confirm that I / we have the authority and am / are legally competent to enter and conclude this transaction, with any legal assistance that may be required. The legal guardian must sign the instruction on behalf of the minor.
2. I / We understand that this application is subject to the Sanlam Collective Investments Scheme Deed (the Deed) and that this application, read together with the Deed, constitutes the entire agreement between Sanlam and myself / ourselves. A copy of the Deed is available upon request.
3. In terms of the Prevention of Organised Crime Act (No 121 of 1998), I / we confirm that the funds for this investment come from a legitimate source. I / we further agree to answer any questions on the source of the funds used for investment and to provide additional information if required.
4. I am / We are aware of and consent to all costs and charges relating to this investment. I / We understand that there is no guarantee with regards to the capital investment. I / We am / are aware that there are further allowable deductions from the fund (e.g. an annual management fee) that may impact the value of the investment.
5. I / We understand that the cost of buying units may include an initial upfront fee plus Value Added Tax (VAT) which may consist of Sanlam's manager's fee as well as a broker advice fee. This is additional to the annual management fee charged by Sanlam.
6. I / We confirm that the risk profile of the investment has been explained to me / us and I / we understand that it is my / our obligation to familiarise myself / ourselves with and accept the risks associated with this investment.
7. I / We accept full responsibility for informing Sanlam of any changes in my / our personal information (e.g. change of address, change of surname, and contact details).
8. I / We have read and understood the contents of this application form and I / we agree to its terms and conditions.
9. I / We have read and understood the Minimum Disclosure Documents, the Upfront Disclosures, and the policies applicable to this investment.

Signature of investor _____ Date signed _____ (dmmccyy)

*Authorised signatory _____ Date signed _____ (dmmccyy)

Authorised signatory details

*Authorised signatory/(ies) acting on behalf of the investor (e.g. parents / guardians of a minor, and all persons authorised to act on behalf of the investor).

Title: Mr Mrs Miss Other (please specify) _____

Full name(s) _____

Surname _____

Identity number _____

Contact telephone number () () _____
Country and area codes, e.g. +27 21 555 5555

E-mail address: _____