



Tax Information Addendum Individuals Hedge Fund Schemes

Submit the completed form and supporting documents to:

E-mail forms@sanlamhedgefunds.com

If you have any questions, contact us on:

Tel +27 (21) 916 6910

E-mail service@sanlamhedgefunds.com

Important information

- Please read all the information in this form carefully and ensure you understand it.
- Any writing / instruction outside of the allocated fields will not be processed.

The Intergovernmental Agreement ("the IGA") entered into between the Governments of South Africa and the United States of America was designed to improve international tax compliance and to implement the Foreign Account Tax Compliance Act ("FATCA"), and equivalent IGAs between the Government of South Africa and any other countries. Accordingly, Sanlam and all its group companies are required to collect relevant information from each client to ensure their identification and classification is correct according to the IGA, and report on these clients to the South African Revenue Services ("SARS") where necessary.

1. Particulars of investor

Investor code _____

Title: Mr Mrs Other (please specify) _____

Full name(s) _____

Surname _____

Date of Birth _____ (dd/mm/ccyy)

Identity number / Passport number _____ Passport expiry date _____ (dd/mm/ccyy)

Country of birth _____ Nationality / citizenship _____

Please specify any other nationality / citizenship _____

Primary country of residence for tax purposes _____

Tax identification number _____

Are you a registered tax payer of any country other than your primary country of residence? Yes No

If "Yes", please complete the information below:

Country/Countries of tax residence	Tax Reference number(s)

Initial _____

2. Declaration by investor / Authorised representative

- I / We certify that the information provided about my/our country of citizenship and country of tax residence is correct.
- I / We will notify Sanlam Collective Investments (RF) (Pty) Ltd immediately if my / our tax residency for Foreign Account Tax Compliance Act ('FATCA') or equivalent classification changes or if there are any changes in circumstances that may impact on my / our tax residency status and / or FATCA classification.

Signature of investor _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory(ies) acting on behalf of the investor (e.g. parents / guardians of a minor and all persons authorised to act on behalf of the investor). Please refer to *Annexure A* for additional information required for each authorised signatory acting on behalf of the investor.

Initial _____



Investments

FICA - Additional information required

Important information

Each person acting on behalf of the investor will need to complete the sections below. In the event that more than one person is authorised to act on behalf of the investor, copies of this section can be made as required and must accompany the fully completed application form.

The information required is **mandatory** to meet legislative requirements and therefore the application will not be processed without receiving the additional information for each applicable party.

Particulars of investor

Title: Mr Mrs Other (please specify) _____

Full name(s) _____

Surname _____

Date of Birth _____ (dd/mm/ccyy) Country of birth _____

Identity number / Passport number _____ Passport expiry date _____ (dd/mm/ccyy)

Occupation _____

Address details:

Registered address _____

Postal code _____

Postal address _____

Postal code _____

Contact details:

Telephone (home) () () _____ Work () () _____
Country and area codes, e.g. +27 21 555 5555

Cell phone () () _____ Fax: () () _____
Country and area codes, e.g. +27 82 555 5555

Email address _____

Tax status

Nationality / citizenship _____

Please specify any other nationality / citizenship _____

Primary country of residence for tax purposes _____

Tax reference number _____

Are you a registered tax payer of any country other than your primary country of residence? Yes No

If "Yes", please complete the information below:

Country / Countries of tax residence	Tax Reference number(s)

I certify that the information I have provided above is true and correct.

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory acting on behalf of the investor

Initial _____