



Tax Information Addendum Entities Hedge Fund Schemes

Submit the completed form and supporting documents to:

E-mail forms@sanlamhedgefunds.com

If you have any questions, contact us on:

Tel +27 (21) 916 6910

E-mail service@sanlamhedgefunds.com

Important information

- Please read all the information in this form carefully and ensure you understand it.
- Any writing/instruction outside of the allocated fields will not be processed.

The Intergovernmental Agreement ("the IGA") entered into between the Governments of South Africa and the United States of America was designed to improve international tax compliance and to implement the Foreign Account Tax Compliance Act ("FATCA"), and equivalent IGAs between the Government of South Africa and any other countries. Accordingly, Sanlam and all its group companies are required to collect relevant information from each client to ensure their identification and classification is correct according to the IGA, and report on these clients to the South African Revenue Services ("SARS") where necessary.

1. Particulars of investor

Investor code _____

Registered name of legal entity _____

Entity registration number _____

Country of incorporation _____ Nationality / citizenship _____

Additional nationality/citizenship _____

Primary country of residence for tax purposes _____

Tax identification number _____

Is the organisation a registered tax payer of any other country other than the primary country of residence Yes No

If "Yes", please complete the information below:

Country/Countries of tax residence	Tax Reference number(s)

2. Organisation's classification under FATCA

If your organisation is a Financial Institution, please specify which type:

- South African Financial Institution or a Partner Jurisdiction Financial Institution
- Participating Foreign Financial Institution (in a non-IGA jurisdiction)
- Non-Participating Foreign Financial Institution (in a non-IGA jurisdiction)
- Financial Institution resident in the USA or in a US Territory
- Exempt Beneficial Owner
- Deemed Compliant Foreign Financial Institution (besides those listed above)

Initial _____

Organisation's classification under FATCA continued

If your organisation is not a Financial Institution, please specify the legal entity's FATCA status:

- Active Non-Financial Foreign Entity
- Passive Non-Financial Foreign Entity (Please complete Section 4 for Controlling Persons)

Please select an option if your organisation is a US tax resident and not a specified US person:

- A regularly traded corporation on a recognised stock exchange
- Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
- A government entity
- Any bank as defined in section 581 of the U.S. Internal Revenue Code
- A retirement plan under section 7701(a)(37), or exempt organization under section 501(a) of the U.S. Internal Revenue Code
- OR any other exclusion

3. Organisation's classification under Common Reporting Standard

Please select one with reference to the primary country of residence:

- Financial Institution
- An investment entity
- Active Non-Financial Entity, which frequently trades on an established securities market or associated with, a
- Governmental Entity or an International Organisation
- Active Non-Financial Entity, other than those listed above
- Passive Non-financial entity (Please complete Section 4 for controlling persons)

4. Self-certification controlling persons

Tax regulations require us to collect information about each Controlling Person's tax residency. We may be obliged to share information about your Controlling Persons with SARS who may share the information with any or all participating tax jurisdictions:

Details of controlling persons

1. Full name(s) and surname _____

Date of birth _____ (dd/mm/ccyy) Country of birth _____

Tax identification number _____

Country of residence _____ Permanent residence address _____

Address _____

Postal code _____
2. Full name(s) and surname _____

Date of birth _____ (dd/mm/ccyy) Country of birth _____

Tax identification number _____

Country of residence _____ Permanent residence address _____

Address _____

Postal code _____
3. Full name(s) and surname _____

Date of birth _____ (dd/mm/ccyy) Country of birth _____

Tax identification number _____

Initial _____

Country of residence _____ Permanent residence address _____
 Address _____
 _____ Postal code _____

Self-certification controlling persons continued

4. Full name(s) and surname _____
 Date of birth _____ (dd/mm/ccyy) Country of birth _____
 Tax identification number _____
 Country of residence _____ Permanent residence address _____
 Address _____
 _____ Postal code _____

5. Declaration by investor/Authorised representative

- I/We certify that the information provided about my/our country of citizenship and country of tax residence is correct.
- I/We will notify Sanlam Collective Investments (RF) (Pty) Ltd immediately if my/our tax residency for Foreign Account Tax Compliance Act ('FATCA') or equivalent classification changes or if there are any changes in circumstances that may impact on my/our tax residency status and/or FATCA classification.

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory(ies) acting on behalf of the investor. Please refer to Annexure A for additional information required for each authorised signatory acting on behalf of the investor.

Initial _____



FICA - Additional information required

Important information

Each person acting on behalf of the investor will need to complete the sections below. In the event that more than one person is authorised to act on behalf of the investor, copies of this section can be made as required and must accompany the fully completed application form.

The information required is **mandatory** to meet legislative requirements and therefore the application will not be processed without receiving the additional information for each applicable party.

Particulars of investor

Title: Mr Mrs Miss Other (please specify) _____

Full name(s) _____

Surname _____

Date of Birth _____ (dd/mm/ccyy) Country of birth _____

Identity number / Passport number _____ Passport expiry date _____ (dd/mm/ccyy)

Occupation _____

Address details:

Registered address _____

Postal code _____

Postal address _____

Postal code _____

Contact details:

Telephone (home) () () _____ Work () () _____
Country and area codes, e.g. +27 21 555 5555 +27 21 555 5555

Cell phone () () _____ Fax: () () _____
Country and area codes, e.g. +27 82 555 5555 +27 21 555 5555

Email address _____

Tax status

Nationality / citizenship _____

Please specify any other nationality / citizenship _____

Primary country of residence for tax purposes _____

Tax reference number _____

Are you a registered tax payer of any country other than your primary country of residence? Yes No

If "Yes", please complete the information below:

Country/Countries of tax residence	Tax Reference number(s)

I certify that the information I have provided above is true and correct.

*Authorised signatory _____ Date signed _____ (dd/mm/ccyy)

*Authorised signatory acting on behalf of the investor

Initial _____